

# Edgar Filing: LUBRIZOL CORP - Form 5

LUBRIZOL CORP

Form 5

February 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported

( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Harnett, Gordon D.

Brush Wellman Inc.

17878 St. Clair Avenue

Cleveland, OH 44110-2697

2. Issuer Name and Ticker or Trading Symbol

The Lubrizol Corporation

LZ

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other

(specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person

( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---------------------------------------------------------|-----------------------------------------------------------|
| Common Shares        | (1)                 | A       | 2,546.1520                                              | A (1) 10,294.4166 (2)                                     |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>Title and Number of Shares | 8. Percentage of Class |
|---------------------------------|--------------------------------------------------------|---------------------|---------|------------------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------------|------------------------|
|                                 |                                                        |                     |         |                                                                              |                                                          |                                                                            |                        |

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|                                      |           |          |   |       |   |          |          |               |       |  |
|--------------------------------------|-----------|----------|---|-------|---|----------|----------|---------------|-------|--|
| Director Stock Option (Right to Buy) | \$25.7188 | 5/1/2000 | A | 2,500 | A | 5/1/2001 | 5/1/2010 | Common Shares | 1,250 |  |
|                                      |           |          |   |       |   | 002      | 010      | s             | 625   |  |
|                                      |           |          |   |       |   | 003      | 010      | s             | 625   |  |

## Explanation of Responses:

(1) Acquired on various dates between January 1 and December 31, 2000, pursuant to Lubrizol's deferred compensation plan and deferred stock compensation plan, at prices ranging from \$18.9375 and \$27.9375 per share.

(2) Includes Common Shares that previously were reported on Table I as Phantom Stock Units, which are payable in Common Shares on a 1-for-1 basis.

SIGNATURE OF REPORTING PERSON

/s/ Gordon D. Harnett by Leslie M. Reynolds

DATE

February 8, 2001