

NACCO INDUSTRIES INC
Form 4
July 10, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALISON A

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
07/07/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock	07/07/2017		J ⁽¹⁾	V	75,461	D	<u>(2)</u> 0	I	By Spouse/Trust ⁽³⁾
Class A Common Stock	07/07/2017		J ⁽¹⁾	V	6	D	<u>(2)</u> 0	I	By Spouse (GP) ⁽⁴⁾
Class A Common Stock	07/07/2017		J ⁽¹⁾	V	19,578	D	<u>(2)</u> 0	I	By Spouse (RA4) ⁽⁵⁾
Class A							29,986	I	By Assoc II

Edgar Filing: NACCO INDUSTRIES INC - Form 4

Common Stock			<u>(6)</u>
Class A Common Stock	6,613	I	By Trust <u>(7)</u>
Class A Common Stock	19,875	I	By Assoc II/Daughter 2 <u>(8)</u>
Class A Common Stock	2,046	I	By Trust (Daughter 2) <u>(9)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	17,987	I	By Assoc II/Daughter 1 <u>(8)</u>
Class A Common Stock	3,933	I	By Trust (Daughter 1) <u>(9)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of A. Farnham Rankin
Class A Common Stock	5,019	I	By Assoc II/Spouse <u>(10)</u>
Class A Common Stock	1,975	I	By Spouse/RMI (Delaware) <u>(11)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: NACCO INDUSTRIES INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P Den Sec (Ins
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 <u>(2)</u>	07/07/2017		J ⁽¹⁾	V 6	<u>(2)</u> <u>(2)</u>	Class A Common Stock	6
Class B Common Stock	\$ 0 <u>(2)</u>	07/07/2017		J ⁽¹⁾	V 19,578	<u>(2)</u> <u>(2)</u>	Class A Common Stock	19,578
Class B Common Stock	\$ 0 <u>(2)</u>	07/07/2017		J ⁽¹⁾	V 75,461	<u>(2)</u> <u>(2)</u>	Class A Common Stock	75,461
Class B Common Stock	<u>(2)</u>					<u>(2)</u> <u>(2)</u>	Class A Common Stock	75,504
Class B Common Stock	<u>(2)</u>					<u>(2)</u> <u>(2)</u>	Class A Common Stock	5,143
Class B Common Stock	<u>(2)</u>					<u>(2)</u> <u>(2)</u>	Class A Common Stock	5,143
Class B Common Stock	\$ 0 <u>(2)</u>					<u>(2)</u> <u>(2)</u>	Class A Common Stock	4,808

