

ing: Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce T. Rankin, for the benefit of Bruce T. Rankin

Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce T. Rankin, for the benefit of Bruce T. Rankin  
Form 5  
February 14, 2019

# FORM 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
Trust dated 9/28/2000 between  
Alfred M. Rankin, Jr., as Trustee &  
Bruce T. Rankin, for the benefit of  
Bruce T. Rankin

(Last) (First) (Middle)

NACCO INDUSTRIES,  
INC., 5875 LANDERBROOK  
DR., SUITE 220

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/2018

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) ☒ Other (specify below)  
Member of a Group

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CLEVELAND, OH 44124

(City) (State) (Zip)

☒ Form Filed by One Reporting Person  
\_\_\_\_ Form Filed by More than One Reporting  
Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)           |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------------------------------------------|
| Class A<br>Common<br>Stock            | 11/08/2018                              | Â                                                           | G                                       | Amount<br>102<br>(A)<br>or<br>(D)<br>Price<br>\$ 0<br>(1)                  | 25,099                                                                                                             | I                                                                       | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II, |

|                            |            |   |   |     |   |                     |        |   |                                                                                     |
|----------------------------|------------|---|---|-----|---|---------------------|--------|---|-------------------------------------------------------------------------------------|
|                            |            |   |   |     |   |                     |        |   | L.P.                                                                                |
|                            |            |   |   |     |   |                     |        |   | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I |                                                                                     |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | <u>\$ 0<br/>(1)</u> | 25,099 | I | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |

|                            |            |   |   |     |   |             |        |   |   |                                                                                     |
|----------------------------|------------|---|---|-----|---|-------------|--------|---|---|-------------------------------------------------------------------------------------|
|                            |            |   |   |     |   |             |        |   |   | Associates II,<br>L.P.                                                              |
| Class A<br>Common<br>Stock | 11/08/2018 | Â | G | 102 | D | \$ 0<br>(1) | 25,099 | I |   | Proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II,<br>L.P. |
| Class A<br>Common<br>Stock | Â          | Â | Â | Â   | Â | Â           | 14,313 | D | Â |                                                                                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     | 8. Pri<br>Deriv<br>Secur<br>(Instr. |        |   |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|-------------------------------------|--------|---|
|                                                     |                                                                       |                                         |                                                             |                                         |                                                                                                                       | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                               | Amount<br>or<br>Number<br>of Shares |                                     |        |   |
|                                                     |                                                                       |                                         |                                                             |                                         |                                                                                                                       | (A)                                                            | (D)                |                                                                     |                                     |                                     |        |   |
| Class B<br>Common<br>Stock                          | Â                                                                     | Â                                       | Â                                                           | Â                                       | Â                                                                                                                     | Â                                                              | Â                  | Â (1)                                                               | Â (1)                               | Class A<br>Common<br>Stock          | 59,498 | Â |
| Class B<br>Common<br>Stock                          | Â                                                                     | Â                                       | Â                                                           | Â                                       | Â                                                                                                                     | Â                                                              | Â                  | Â (1)                                                               | Â (1)                               | Class A<br>Common<br>Stock          | 59,675 | Â |

## Reporting Owners

| Reporting Owner Name / Address |  | Relationships |
|--------------------------------|--|---------------|
| Reporting Owners               |  |               |

ing: Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce T. Rankin, for the benefit of Bruce T. Rankin

| Director | 10%<br>Owner | Officer | Other                |
|----------|--------------|---------|----------------------|
| Â        | Â            | Â       | Member of a<br>Group |

Trust dated 9/28/2000 between Alfred M. Rankin, Jr., as Trustee & Bruce  
T. Rankin, for the benefit of Bruce T. Rankin  
NACCO INDUSTRIES, INC.  
5875 LANDERBROOK DR., SUITE 220  
CLEVELAND, OH 44124

Signatures

/s/ Jesse L. Adkins,  
attorney-in-fact 02/14/2019

Signature of Reporting Person Date

Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.  
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