

McVey Richard M  
Form SC 13G/A  
February 10, 2012

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**SCHEDULE 13G**

Under the Securities Exchange Act of 1934

(Amendment No. 3)\*

**MarketAxess Holdings Inc.**

(Name of issuer)

**Common Stock, par value \$0.003 per share**  
(Title of class of securities)

**57060D 10 8**  
(CUSIP number)

**December 31, 2011**  
(Date of event which requires filing of this statement)

Edgar Filing: McVey Richard M - Form SC 13G/A

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page. The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

SCHEDULE 13G

CUSIP No. 57060D 10 8

Page 2 of 6 Pages

(1) Names of reporting persons

Richard M. McVey

(2) Check the appropriate box if a member of a group (see instructions)

(a)  (b)

(3) SEC use only

(4) Citizenship or place of organization

United States of America

(5) Sole voting power

Number of

shares 2,075,965 shares of Common Stock  
(6) Shared voting power

beneficially

owned by None  
each (7) Sole dispositive power

reporting

person 2,075,965 shares of Common Stock  
(8) Shared dispositive power

with:

None

(9) Aggregate amount beneficially owned by each reporting person

2,075,965 shares of Common Stock (See Item 4(a))

(10) Check if the aggregate amount in Row (9) excludes certain shares (see instructions)

Edgar Filing: McVey Richard M - Form SC 13G/A

(11) Percent of class represented by amount in Row (9)

Approximately 5.6% as of December 31, 2011 (based on the 35,578,526 shares of Common Stock reported to be issued and outstanding as of October 25, 2011 on the Issuer's Quarterly Report on Form 10-Q filed on October 26, 2011). (See Item 4).

(12) Type of reporting person (see instructions)

IN Individual

SCHEDULE 13G

CUSIP No. **57060D 10 8**

Page 3 of 6 Pages

**Item 1.**

(a) Name of Issuer  
MarketAxess Holdings Inc.

(b) Address of Issuer's Principal Executive Offices:  
299 Park Avenue, 10<sup>th</sup> Floor

New York, NY 10171

**Item 2.**

(a) Name of Persons Filing:  
Richard M. McVey

(b) Address of Principal Business Office:  
c/o MarketAxess Holdings Inc.

299 Park Avenue, 10<sup>th</sup> Floor

New York, NY 10171

(c) Citizenship:  
United States of America

(d) Title of Class of Securities:  
Common Stock, par value \$0.003 per share

(e) CUSIP Number:  
57060D 10 8

**Item 3. If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b), check whether the person filing is a:**

Edgar Filing: McVey Richard M - Form SC 13G/A

- (a) " Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) " Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) " Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) " Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- (e) " An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);

SCHEDULE 13G

CUSIP No. **57060D 10 8**

Page 4 of 6 Pages

- (f) " An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
  - (g) " A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
  - (h) " A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
  - (i) " A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
  - (j) " Group, in accordance with §240.13d-1(b)(1)(ii)(J).
- If this statement is filed pursuant to Rule 13d-1(c), check this box "

**Item 4. Ownership**

(a) Amount Beneficially Owned  
2,075,965 shares of Common Stock (includes 1,496,533 shares of Common Stock issuable pursuant to employee stock options that are or become exercisable within sixty days of December 31, 2011).

(b) Percent of Class  
Approximately 5.6% as of December 31, 2011 (based on the 35,578,526 shares of Common Stock reported to be issued and outstanding as of October 25, 2011 on the Issuer's Quarterly Report on Form 10-Q filed on October 26, 2011).

(c) Number of shares as to which the person has:

(i) Sole power to vote or direct the vote:  
2,075,965 shares of Common Stock.

(ii) Shared power to vote or direct the vote:  
None.

(iii) Sole power to dispose or to direct the disposition of:  
2,075,965 shares of Common Stock.

(iv) Shared power to dispose or to direct the disposition of:

None.



SCHEDULE 13G

CUSIP No. **57060D 10 8**

Page 5 of 6 Pages

**Item 5. Ownership of Five Percent or Less of a Class**

Inapplicable

**Item 6. Ownership of More than Five Percent on Behalf of Another Person**

Inapplicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company**

Inapplicable

**Item 8. Identification and Classification of Members of the Group**

Inapplicable

**Item 9. Notice of Dissolution of the Group**

Inapplicable

**Item 10. Certification**

Inapplicable

SCHEDULE 13G

CUSIP No. **57060D 10 8**

Page 6 of 6 Pages

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 10, 2012

Date

/s/ Richard M. McVey

Signature

Richard M. McVey

Name/Title