SCYNEXIS INC Form 3

May 02, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Nothias Jean-Yves

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/02/2014

SCYNEXIS INC [SCYX] 4. Relationship of Reporting

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SCYNEXIS, INC.,, 3501 C TRICENTER BOULEVARD

(Street)

\_X\_ Director Officer

Person(s) to Issuer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DURHAM, NCÂ 27713

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title Amount or Number of Shares

Derivative Security Direct (D) or Indirect (I)

						(Instr. 5)	
Common Stock Warrant (right to buy)	12/07/2011	12/06/2016	Common Stock	5,251 <u>(1)</u>	\$ 0.2	I	By FCPR Biotechnology Fund
Common Stock Warrant (right to buy)	05/15/2012	05/14/2017	Common Stock	5,251 <u>(1)</u>	\$ 0.2	I	By FCPR Biotechnology Fund
Common Stock Warrant (right to buy)	12/11/2013	12/10/2018	Common Stock	25,998 (1)	\$ 0.2	I	By FCPR Biotechnology Fund
Series B Preferred Stock	08/24/2000	(2)	Common Stock	46,863 <u>(1)</u>	\$ <u>(2)</u>	I	By FCPR Biotechnology Fund
Series C Preferred Stock	06/19/2002	(3)	Common Stock	88,796 <u>(1)</u>	\$ (3)	I	By FCPR Biotechnology Fund
Series D-1 Preferred Stock	12/11/2013	(4)	Common Stock	42,336 <u>(1)</u> (4)	\$ <u>(4)</u>	I	By FCPR Biotechnology Fund
Series D-2 Preferred Stock	12/11/2013	(5)	Common Stock	30,582 <u>(1)</u> <u>(5)</u>	\$ <u>(5)</u>	I	By FCPR Biotechnology Fund

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
•	Director	10% Owner	Officer	Other
Nothias Jean-Yves				
C/O SCYNEXIS, INC.,	ÂΧ	Â	Â	â
3501 C TRICENTER BOULEVARD	ΑΛ	A	A	A
DURHAM, NC 27713				

## **Signatures**

/s/ Eileen Pruette, by power of attorney 05/02/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (2) Each of the Series B Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-3.536 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (3) Each of the Series C Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-3.536 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

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- (4) Each of the Series D-1 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.
- (5) Each of the Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.