**SCYNEXIS INC** Form 4 May 08, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per response...

Estimated average

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **Arthaud Laurent** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

SCYNEXIS INC [SCYX] 3. Date of Earliest Transaction

(Month/Day/Year)

05/07/2014

(Check all applicable)

10% Owner

C/O SCYNEXIS, INC.,, 3501 C TRICENTER BOULEVARD

(Street)

4. If Amendment, Date Original

Officer (give title \_ Other (specify below)

Applicable Line)

\_X\_\_ Director

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

DURHAM,, NC 27713

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/07/2014		Code V X	Amount 34	(D)	Price \$ 0.2	(Instr. 3 and 4) 3,772	I	By wife
Common Stock	05/07/2014		X	34	A	\$ 0.2	3,806	I	By wife
Common Stock	05/07/2014		X	222	A	\$ 0.2	4,028	I	By wife
Common Stock	05/07/2014		C	398	A	<u>(1)</u>	4,426	I	By wife
Common Stock	05/07/2014		C	81	A	<u>(2)</u>	4,507	I	By wife

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Common Stock 735 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number ction f Derivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	3	34	12/07/2011	12/06/2016	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	3	34	05/15/2012	05/14/2017	Common Stock	34
Common Stock Warrant (right to buy)	\$ 0.2	05/07/2014		X	22	22	12/11/2013	12/10/2018	Common Stock	222
Series D-1 Preferred Stock	(2)	05/07/2014		С	8,1	127	<u>(1)</u>	<u>(1)</u>	Common Stock	398 (1)
Series D-2 Preferred Stock	<u>(2)</u>	05/07/2014		С	1,6	665	<u>(2)</u>	<u>(2)</u>	Common Stock	81 (2)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Arthaud Laurent C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM., NC 27713



### **Signatures**

/s/ Eileen Pruette, by power of attorney

05/08/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon closing of the Issuer's initial public offering, each share of Series D-1 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.
- (2) Upon closing of the Issuer's initial public offering, each share of Series D-2 Preferred Stock converted automatically into shares of common stock of the Issuer on a 1-for-20.4 basis, and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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