

Stokes Russell
 Form 3
 September 11, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Stokes Russell | | (Month/Day/Year) | GENERAL ELECTRIC CO [GE] | |
| (Last) | (First) | 09/07/2018 | | |
| 41 FARNSWORTH STREET | | | 4. Relationship of Reporting Person(s) to Issuer | |
| (Street) | | | (Check all applicable) | |
| BOSTON, MA 02210 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Senior Vice President | |
| (City) | (State) | (Zip) | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 181,894 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable Expiration Date | Title Amount or Number of | | | |

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| | | | | Shares | | (I) (Instr. 5) | |
|---|--------------|--------------|--------------|---------|---------------|-------------------|---|
| Restricted Stock Units | Â <u>(2)</u> | Â <u>(2)</u> | Common Stock | 15,000 | \$ <u>(1)</u> | D | Â |
| Restricted Stock Units | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 14,400 | \$ <u>(1)</u> | D | Â |
| Restricted Stock Units | Â <u>(4)</u> | Â <u>(4)</u> | Common Stock | 16,000 | \$ <u>(1)</u> | D | Â |
| Restricted Stock Units | Â <u>(5)</u> | Â <u>(5)</u> | Common Stock | 32,000 | \$ <u>(1)</u> | D | Â |
| Restricted Stock Units | Â <u>(6)</u> | Â <u>(6)</u> | Common Stock | 22,400 | \$ <u>(1)</u> | D | Â |
| Restricted Stock Units | Â <u>(7)</u> | Â <u>(7)</u> | Common Stock | 116,700 | \$ <u>(1)</u> | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/09/2009 | 09/09/2018 | Common Stock | 12,500 | \$ 28.12 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 03/12/2010 | 03/12/2019 | Common Stock | 50,000 | \$ 9.57 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 07/23/2010 | 07/23/2019 | Common Stock | 50,000 | \$ 11.95 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 06/10/2011 | 06/10/2020 | Common Stock | 75,000 | \$ 15.68 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 06/09/2012 | 06/09/2021 | Common Stock | 100,000 | \$ 18.58 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/07/2013 | 09/07/2022 | Common Stock | 110,000 | \$ 21.59 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/13/2014 | 09/13/2023 | Common Stock | 125,000 | \$ 23.78 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/05/2015 | 09/05/2024 | Common Stock | 250,000 | \$ 26.1 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/11/2016 | 09/11/2025 | Common Stock | 117,000 | \$ 24.95 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/09/2017 | 09/09/2026 | Common Stock | 150,000 | \$ 30.11 | D | Â |
| Employee Stock Option (right to buy) <u>(8)</u> | 09/06/2018 | 09/06/2027 | Common Stock | 200,000 | \$ 24.92 | D | Â |
| Employee Stock Option (right to buy) <u>(9)</u> | 01/29/2019 | 01/29/2028 | Common Stock | 500,000 | \$ 16.28 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stokes Russell 41 FARNSWORTH STREET BOSTON, MA 02210 | Â | Â | Â Senior Vice President | Â |

Signatures

Julia L. Chen on behalf of Russell
Stokes 09/11/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1-for-1
- (2) 75,000 units granted on 7/24/2014; 15,000 units vested on 7/24/2015; 15,000 units vested on 7/24/2016; 15,000 units vested on 7/24/2017; 15,000 units vested on 7/24/2018; and 15,000 units are scheduled to vest on 7/24/2019.
- (3) 24,000 units granted on 9/11/2015; 4,800 units vested on 9/11/2016; 4,800 units vested on 9/11/2017; 4,800 units are scheduled to vest on 9/11/2018; 4,800 units are scheduled to vest on 9/11/2019; and 4,800 units are scheduled to vest on 9/11/2020.
- (4) 20,000 units granted on 9/9/2016; 4,000 units vested on 9/9/2017; 4,000 units are scheduled to vest on 9/9/2018; 4,000 units are scheduled to vest on 9/9/2019; 4,000 units are scheduled to vest on 9/9/2020; and 4,000 units are scheduled to vest on 9/9/2021.
- (5) 40,000 units granted on 2/10/2017; 8,000 units vested on 2/10/2018; 8,000 units are scheduled to vest on 2/10/2019; 8,000 units are scheduled to vest on 2/10/2020; 8,000 units are scheduled to vest on 2/10/2021; and 8,000 units are scheduled to vest on 2/10/2022.
- (6) 28,000 units granted on 9/6/2017; 5,600 units vested on 9/6/2018; 5,600 units are scheduled to vest on 9/6/2019; 5,600 units are scheduled to vest on 9/6/2020; 5,600 units are scheduled to vest on 9/6/2021; and 5,600 units are scheduled to vest on 9/6/2022.
- (7) 116,700 units granted on 2/26/2018; 38,900 units are scheduled to vest on 2/26/2019; 38,900 units are scheduled to vest on 2/26/2020; and 38,900 units are scheduled to vest on 2/26/2021.
- (8) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.
- (9) The options become exercisable in one installment on 1/29/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.