Abbott John S Form 4 February 08, 2019

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: January 31,

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Abbott John S |         |          | 2. Issuer Name and Ticker or Trading Symbol Otter Tail Corp [OTTR] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|---------|----------|--|--|--|--|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction                                    | (Check all applicable)   |  |  |
|   |         |          | (Month/Day/Year)   | Director 10% Owner   |  |  |
| 215 S CASCADE ST  |         |          | 02/06/2019   | X Officer (give title Other (specification) below)  Pres Varistar, Sr VP, M/I Plat                   |  |  |
| (Street)  |         |          | 4. If Amendment, Date Original                                     | 6. Individual or Joint/Group Filing(Check  |  |  |
| FERGUS FALLS, MN 56537-2801                             |         |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                              | (Zip) Tabl  | e I - Non-I                             | Derivative                       | Secu                        | rities Acqu  | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|---|----------------------------------|-----------------------------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | spose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 02/06/2019                           |   | M                                       | 1,975                            | A                           | <u>(1)</u>   | 8,435  | D  |   |
| Common<br>Stock                      | 02/06/2019                           |   | F                                       | 920 (2)                          | D                           | \$<br>48.045 | 7,515 <u>(3)</u>   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 2 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|--|---|--|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 02/06/2019                              |   | M                                      | 450   | 02/06/2019(4)  | 02/06/2019         | Common<br>Stock   | 0                                      |
| Restricted<br>Stock<br>Units                        | (1)   | 02/06/2019                              |   | M                                      | 600   | 02/06/2019(5)  | <u>(5)</u>         | Common<br>Stock   | 600                                    |
| Restricted<br>Stock<br>Units                        | (1)   | 02/06/2019                              |   | M                                      | 475   | 02/06/2019(6)  | (6)                | Common<br>Stock   | 950                                    |
| Restricted<br>Stock<br>Units                        | (1)   | 02/06/2019                              |   | M                                      | 450   | 02/06/2019(7)  | <u>(7)</u>         | Common<br>Stock   | 1,350                                  |

## **Reporting Owners**

| Reporting Owner Name / Address                          | Relationships |            |              |       |  |  |  |
|---|---------------|------------|--------------|-------|--|--|--|
|   | Director      | 10% Owner  | Officer      | Other |  |  |  |
| Abbott John S   |               |            | Pres         |       |  |  |  |
| 215 S CASCADE ST  |               |            | Varistar, Sr |       |  |  |  |
| FERGUS FALLS, MN 56537-2801                             |               |            | VP, M/I Plat |       |  |  |  |
| Signatures  |               |            |              |       |  |  |  |
| /s/ John S Abbott by Ella Leapaldt,<br>Attorney-in-Fact |               | 02/08/2019 |              |       |  |  |  |

# \*\*Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Otter Tail Corporation common stock.

Reporting Owners 2

Date

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- (2) These shares were withheld by the Corporation to pay taxes due upon vesting of this award, exempt pursuant to Rule 16b-3(e).
- (3) Total direct holding acquired pursuant to Restricted Stock Units and Performance Share Awards.
- (4) The restricted stock units vest in four equal installments which began February 6, 2016.
- (5) The restricted stock units vest in four equal annual installments which began February 6, 2017.
- (6) The restricted stock units vest in four equal annual installments which began February 6, 2018.
- (7) The restricted stock units vest in four equal annual installments beginning the date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.