

DAY SUZANNE F.
Form 3
January 05, 2011

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

DAY SUZANNE F.

(Last) (First) (Middle)

29400 LAKELAND
BOULEVARD

(Street)

WICKLIFFE, OH 44092

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/03/2011

3. Issuer Name and Ticker or Trading Symbol
LUBRIZOL Corp [LZ]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP and General Counsel

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Shares

8,583

D

À

Common Shares

986

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of

5. Ownership
Form of
Derivative

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right to Buy)	Â (1)	03/25/2012	Common Shares	1,500	\$ 34.075	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (2)	03/24/2013	Common Shares	1,500	\$ 30.335	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (3)	03/22/2014	Common Shares	1,500	\$ 30.175	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DAY SUZANNE F. 29400 LAKELAND BOULEVARD WICKLIFFE, OH 44092	Â	Â	Â VP and General Counsel	Â

Signatures

Benita R. Burton for Suzanne
F. Day

01/05/2011

__Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These options vested in three installments as follows: 50% on March 25, 2003, 25% on March 25, 2004, and 25% on March 25, 2005.
- (2) These options vested in three installments as follows: 50% on March 24, 2004, 25% on March 24, 2005, and 25% on March 24, 2006.
- (3) These options vested in three installments as follows: 50% on March 22, 2005, 25% on March 22, 2006, and 25% on March 22, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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