

MCHENRY DONALD F
Form 4
April 16, 2003

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

— Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By
Romeo and Dye's
Section 16 Filer
www.section16.net

| | | | | | | | | |
|---|--|--|---|--|--|--|--|--|
| 1. Name and Address of Reporting Person* McHenry Donald F. (Last) (First) (Middle) | | | 2. Issuer Name and Ticker or Trading Symbol FleetBoston Financial Corporation (FBF) | | | | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director — <input type="checkbox"/> 10% Owner — <input type="checkbox"/> Officer (give title below) — <input type="checkbox"/> Other (specify below) | |
| 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | | 4. Statement for Month/Day/Year 04/15/03 | | | | 7. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |
| 5. If Amendment, Date of Original (Month/Day/Year) | | | | | | | | |
| 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | 7. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| 7. Nature of Ownership (Instr. 4) | | | 8. Price of Derivative Security (Instr. 5) | | | | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | |
| 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | | | 11. Title and Amount of Underlying Securities (Instr. 3 & 4) | | | | 12. Date Exercisable and Expiration Date (Month/Day/Year) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 & 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Title and Amount of Underlying Securities (Instr. 3 & 4) |
|--|--|--------------------------------------|--|--------------------------------|--|--|-------------|---|---------------------|--|--|--|--|
| | | | | | | Date Exer-cisable | Expira-tion | Title | Amount or Number of | | | | |
| | | | | | | | | | | | | | |

Edgar Filing: MCHENRY DONALD F - Form 4

| | | | | | | | Date | | Shares | | | Indirect (I) (Instr. 4) |
|------------------------|----------------|-----------------|--|------------------------|-------------------|--|-------------------------|-------------------------|-------------------|----------------|-------------------|-------------------------------|
| Stock Units | 1-for-1 | 04/15/03 | | A⁽¹⁾ | 1,155.1791 | | (⁽²⁾) | Common Stock | 1,155.1791 | \$25.97 | 6,830.4671 | D |

Explanation of Responses:

(1) Annual award of FBF stock units under the Directors Deferred Compensation and Stock Unit Plan.

(2) Stock units are payable in shares of FBF common stock upon the reporting person's retirement or resignation from the Board of Directors.

By: /s/ **Martha R. Francis**

Martha R. Francis, Attorney-in-Fact

**Signature of Reporting Person

04/16/03

Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.