

Edgar Filing: TAG IT PACIFIC INC - Form 5

TAG IT PACIFIC INC
Form 5
February 13, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person*

| | | |
|----------------------------|---------|----------|
| SHOTTING | KAREN | M. |
| ----- | ----- | ----- |
| (Last) | (First) | (Middle) |
| C/O 3D SYSTEMS CORPORATION | | |
| 26081 AVENUE HALL | | |
| ----- | | |
| (Street) | | |
| VALENCIA | CA | 91355 |
| ----- | ----- | ----- |
| (City) | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

3D SYSTEMS CORPORATION (NASDAQ-NMS: "TDSC")

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

DECEMBER 31, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

- | | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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7. Individual or Joint/Group Filing
(Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | |
|---------------------------------------|---|---|--|-------|
| | | | Amount | Price |
| | | | (A) (D) | |

* If the form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses) (Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. | 2. Conver- sion or Exer- cise Price of | 3. Trans- action | 4. Trans- | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|----|---|------------------------|--------------|--|--|---|
| | | | | | | Amount |
| | | | | | | |

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| Title of Derivative Security (Instr. 3) | Derivative Security | Date (Month/Day/Year) | action Code (Instr. 8) | (Instr. 3, 4 and 5) (A) (D) | Exercisable Date | Expiration Date | Title | or Number of Shares |
|---|---------------------|-----------------------|------------------------|-----------------------------|------------------|-----------------|--------------|---------------------|
| STOCK OPTION (RIGHT TO BUY) | \$5.63 | 5/20/99 | 3(1) | 7,500 | (2) | 5/20/09 | COMMON STOCK | 7,500 |
| STOCK OPTION (RIGHT TO BUY) | \$5.82 | 11/2/99 | 3(1) | 6,000 | (3) | 11/2/09 | COMMON STOCK | 6,000 |
| STOCK OPTION (RIGHT TO BUY) | \$10.31 | 4/11/00 | 3(1) | 20,000 | (4) | 4/10/10 | COMMON STOCK | 20,000 |
| STOCK OPTION (RIGHT TO BUY) | \$12.59 | 2/28/01 | A | 1,500 | 2/28/02 | 2/28/11 | COMMON STOCK | 1,500 |
| STOCK OPTION (RIGHT TO BUY) | \$15.51 | 5/08/01 | A | 25,000 | (5) | 5/08/11 | COMMON STOCK | 25,000 |

Explanation of Responses:

- (1) INCLUDES OPTIONS TO PURCHASE ADDITIONAL SHARES OF COMMON STOCK HELD ON APRIL 29, 2001, THE DATE ON WHICH THE REPORTING PERSON BECAME AN OFFICER OF 3D SYSTEMS CORPORATION.
- (2) THIS STOCK OPTION VESTS AND BECOMES EXERCISABLE AS FOLLOWS: 2,500 SHARES OF COMMON STOCK VESTED ON MAY 20, 2001, 2,500 SHARES OF COMMON STOCK VEST ON MAY 20, 2002, AND 2,500 SHARES OF COMMON STOCK VEST ON MAY 20, 2003.
- (3) THIS STOCK OPTION VESTS AND BECOMES EXERCISABLE IN FOUR EQUAL ANNUAL INSTALLMENTS BEGINNING ON NOVEMBER 2, 2000.
- (4) THIS STOCK OPTION VESTS AND BECOMES EXERCISABLE IN FOUR EQUAL ANNUAL INSTALLMENTS BEGINNING ON APRIL 11, 2001.
- (5) THIS STOCK OPTION VESTS AND BECOMES EXERCISABLE IN FOUR EQUAL ANNUAL INSTALLMENTS BEGINNING ON MAY 8, 2002.

/S/ KAREN M. SHOTTING

FEBRUARY 12, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.