VERACYTE, INC. Form 3 October 29, 2013

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

EASTHAM KARIN

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

10/29/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

VERACYTE, INC. [VCYT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

VERACYTE, INC., 7000 (Check all applicable) SHORELINE COURT, SUITE

250

(Street)

10% Owner \_X\_ Director Officer \_ Other

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### **SOUTH SAN** FRANCISCO, Â CAÂ 94080

(City)

(State)

(Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of Price of Security: Derivative Security Direct (D)

#### Edgar Filing: VERACYTE, INC. - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	12/05/2022	Common Stock	6,250	\$ 4	D	Â
Stock Option (right to buy)	(2)	10/01/2023	Common Stock	33,750	\$ 12.12	D	Â
Series C Convertible Preferred Stock	(3)	(3)	Common Stock	13,227	\$ <u>(3)</u>	I	By the Karin Eastham Defined Benefit Plan

# **Reporting Owners**

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Othe	
EASTHAM KARIN					
VERACYTE, INC.	ÂΧ	Â	â	â	
7000 SHORELINE COURT, SUITE 250	ΑΛ	A	A	A	
SOUTH SAN FRANCISCO Â CA Â 94080					

## **Signatures**

/s/ Karin
Eastham

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest at a rate of 1/12th of the total number of shares subject to the option for each month of continuous service from December 6, 2012, the grant date.
- The option award is immediately exercisable, subject to the Issuer's right of repurchase. The options vest as to 1/4 of the total number of shares subject to the option on October 2, 2014, and the remaining shares vest at a rate of 1/48th of the total number of shares subject to the option for each month of continuous service thereafter.
- (3) Each outstanding share of convertible preferred stock will automatically convert into 0.25 shares of common stock upon closing of the Issuer's initial public offering and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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