

LO PATRICK CS
Form 4
September 05, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LO PATRICK CS

(Last) (First) (Middle)
NETGEAR, INC., 350 E. PLUMERIA DR.
(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NETGEAR, INC [NTGR]

3. Date of Earliest Transaction (Month/Day/Year)
09/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount Price			
Common Stock					120,048	I	See footnote (1)
Common Stock					147,668	I	See footnote (2)
Common Stock	09/04/2018		M ⁽³⁾	4,000 A \$ 11.41	207,279	D	
Common Stock	09/04/2018		M ⁽⁴⁾	7,142 A \$ 21.1	214,421	D	
	09/04/2018		M ⁽⁴⁾	2,857 A \$ 20.8	217,278	D	

Edgar Filing: LO PATRICK CS - Form 4

Common Stock							
Common Stock	09/04/2018	S ⁽³⁾	4,000	D	\$ <u>69.5</u> (5)	213,278	D
Common Stock	09/04/2018	S ⁽⁴⁾	7,142	D	\$ <u>69.51</u> (5)	206,136	D
Common Stock	09/04/2018	S ⁽⁴⁾	2,857	D	\$ <u>69.52</u> (5)	203,279	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 11.41	09/04/2018		M ⁽³⁾	4,000	<u>(6)</u>	01/16/2019	Common Stock	4,000
Employee Stock Option (Right to Buy)	\$ 21.1	09/04/2018		M ⁽⁴⁾	7,142	<u>(7)</u>	02/02/2020	Common Stock	7,142
Employee Stock Option (Right to Buy)	\$ 20.8	09/04/2018		M ⁽⁴⁾	2,857	<u>(8)</u>	06/13/2020	Common Stock	2,857
	\$ 35.32					<u>(9)</u>	02/03/2021		100,000

Employee Stock Option (Right to Buy)				Common Stock	
Employee Stock Option (Right to Buy)	\$ 33.15	<u>(10)</u>	04/26/2021	Common Stock	40,000
Employee Stock Option (Right to Buy)	\$ 31.31	<u>(11)</u>	06/06/2022	Common Stock	100,000
Employee Stock Option (Right to Buy)	\$ 32.54	<u>(12)</u>	05/16/2023	Common Stock	108,510
Employee Stock Option (Right to Buy)	\$ 32.52	<u>(13)</u>	06/03/2024	Common Stock	100,000
Employee Stock Option (Right to Buy)	\$ 31.28	<u>(14)</u>	06/02/2025	Common Stock	100,000
Employee Stock Option (Right to Buy)	\$ 39.53	<u>(14)</u>	03/24/2026	Common Stock	115,000
Employee Stock Option (Right to Buy)	\$ 42.7	<u>(15)</u>	06/01/2027	Common Stock	115,000
Common Stock	\$ 70.15	<u>(14)</u>	01/25/2028	Common Stock	115,000

Reporting Owners

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

