Edgar Filing: MITCHELL WILLIAM - Form 4

MITCHELL W	VILLIAM									
Form 4										
May 07, 2013										
FORM	4 UNITED ST	TATES SECURI	TIES AN			CEC	OMMISSION		PPROVAL	
	UNITED SI		ington, D			GEU	01011011551011	OMB Number:	3235-0287	
Check this if no longer							Expires:	January 31, 2005		
subject to	STATEME		SES IN BENEFICIAL OWN				NERSHIP OF	Estimated average		
Section 16. Form 4 or		2	SECURI	TIES				burden hou	rs per 0.5	
Form 5	Filed pursu	ant to Section 16((a) of the	Securitie	es Exc	chang	e Act of 1934,	response	0.5	
obligations may contin	Section $17(a)$	of the Public Util				•		n		
See Instruc		30(h) of the Inve	estment C	ompany	Act	of 194	0			
1(b).										
(Print or Type Re	sponses)									
1. Name and Add	dress of Reporting Per	rson <u>*</u> 2. Issuer N	Vame and T	icker or T	rading		5. Relationship of	Reporting Pers	son(s) to	
MITCHELL WILLIAM Symbol			-				Issuer (Check all applicable)			
			/Day/Year)			X Director Officer (give		Owner er (specify		
225 ATTIERI	ONAVE	05/03/201	13				below)	below)		
(Street) 4. If Ameno Filed(Month			dment, Date Original			6. Individual or Joint/Group Filing(Check				
			n/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
ATHERTON	, CA 94027							fore than One Re		
(City)	(State) (Zi	p) Table 1	I - Non-Dei	rivative Se	ecuriti	es Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deemed Execution Date, if	3.	4. Securi			5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Year)	Transactio Code	onAcquired Disposed			Securities Form: Direct Indirect Beneficially (D) or Benefic				
(Insu: 5)		any (Month/Day/Year)					Owned	Indirect (I) O	Ownership	
							Following Reported	(Instr. 4)	(Instr. 4)	
					(A)		Transaction(s)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Capital				2,400						
(Common)	05/03/2013		А	(1)	А	\$0	12,191	D		
Stock										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	Director 10% Owner		Other			
MITCHELL WILLIAM 223 ATHERTON AVE ATHERTON, CA 94027	Х						
Signatures							
Irene A. Jessop as Power of Attorney		05/07/201	3				
<u>**</u> Signature of Reporting Person		Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Rogers Corporation Capital (Common) Stock receivable pursuant to the May 3, 2013 award of deferred stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. >Expiration DateTitleAmount or Number of Shares Employee Stock Option (Right to Buy) \$ 31.05705/02/2012 M 2,58203/25/200703/24/2013 Common Stock 2,582 \$ 0 0 D Employee Stock Option (Right to Buy) \$ 43.97705/02/2012 M 68,54401/26/200501/25/2014 Common Stock 68,544 \$ 0 0 D Employee Stock Option (Right to Buy) \$ 16.7105/02/2012 M 179,53201/29/2010⁽⁶⁾01/28/2019 Common Stock 179,532 \$ 0 59,845 D

Reporting Owners

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Henry Daniel T			EVP, Chief Financial Officer				
3 WORLD FINANCIAL CENTER							
200 VESEY ST., AMERICAN EXPRESS TOWER							

NEW YORK, NY 10285

Signatures

/s/ Michael G. Kuchs, 05/02/2012 attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported disposition represents the sale of shares to cover the cost of exercise and withholding tax obligations arising from the stock
 (1) options exercises reported above and the sale of 50% of the net shares acquired from these exercises; Mr. Henry will retain the balance of the net shares in accordance with the Company's retention guidelines for executive officers.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$60.38 to \$60.99. The reporting person undertakes to provide to American Express Company, any security holder of American Express

- (2) The reporting person undertacts to provide to American Express Company, any security notice of American Express
 (2) Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (3) The reported disposition represents the sale of shares acquired from restricted stock vestings and/or stock option exercises in prior years.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$60.51 to \$60.63. The reporting person undertakes to provide to American Express Company, any security holder of American Express

- (4) To solve the reporting person undertakes to provide to American Express Company, any security nonder of American Express
 (4) Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (5) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.
- (6) Three installments of 59,844 shares each vested on 1/29/2010, 1/29/2011, and 1/29/2012, respectively.

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