

FIDELITY SOUTHERN CORP

Form 4

April 07, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Choate William Millard

2. Issuer Name **and** Ticker or Trading  
Symbol  
FIDELITY SOUTHERN CORP  
[LION]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3490 PIEDMONT ROAD #1550

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/04/2014

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

ATLANTA, GA 30305

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|----------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 04/04/2014                              |                                                             | J <sup>(1)</sup>                     | V 0.0041 D \$ 0 0                                                       |                                                                                                                    | I                                                                       | By Family<br>Partnership                                                       |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 04/04/2014                              |                                                             | J <sup>(1)</sup>                     | V 33,720 D \$ 0 0.0041                                                  |                                                                                                                    | I                                                                       | By Family<br>Partnership                                                       |
| Fidelity<br>Southern                                     | 04/04/2014                              |                                                             | J <sup>(1)</sup>                     | V 33,720 A \$ 0 198,596.637                                             |                                                                                                                    | D                                                                       |                                                                                |

# Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

Corporation  
- Common  
Stock

Fidelity  
Southern

Corporation 04/04/2014  
- Common  
Stock

A<sup>(2)</sup> 212.652 A \$ 14.0958 164,876.637 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date Exercisable<br>Expiration<br>Date                         | Title<br>Amount<br>or<br>Number<br>of Shares                        |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 15.56                                                           |                                         |                                                             |                                      |                                                                                                                    | 01/23/2015 <sup>(3)</sup> 01/23/2019                           | Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock 6,000      |
| Stock<br>Option<br>(Right to<br>Buy)                | \$ 6.15                                                            |                                         |                                                             |                                      |                                                                                                                    | 01/19/2013 <sup>(4)</sup> 01/19/2017                           | Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock 10,000     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

Choate William Millard  
3490 PIEDMONT ROAD #1550  
ATLANTA, GA 30305

## Signatures

Barbara McNeill, Attorney in Fact, Wm. Millard  
Choate

04/07/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Adjustment
- (2) Shares awarded for service as a Director during the previous month.
- (3) Exercisable: 1/3 on 1/23/15; 1/3 on 1/23/16; 1/3 on 1/23/17
- (4) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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