

WATERS CORP /DE/

Form 3

February 11, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Harrington Michael C

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/10/2016

3. Issuer Name and Ticker or Trading Symbol

WATERS CORP /DE/ [WAT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

34 MAPLE STREET

(Street)

(Check all applicable)

____ Director ____ 10% Owner

X Officer ____ Other

(give title below) (specify below)

Sr VP, Global Markets

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting Person

____ Form filed by More than One Reporting Person

MILFORD, MA 01757

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form:

Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

7,187

D (1)

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Edgar Filing: WATERS CORP /DE/ - Form 3

				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	12/11/2008 ⁽²⁾	12/11/2017	Common Stock	12,000	\$ 77.94	D	Â
Stock Option (Right to Buy)	12/09/2011 ⁽³⁾	12/09/2020	Common Stock	28,000	\$ 79.05	D	Â
Stock Option (Right to Buy)	12/07/2012 ⁽⁴⁾	12/07/2021	Common Stock	24,000	\$ 79.15	D	Â
Stock Option (Right to Buy)	12/11/2013 ⁽⁵⁾	12/11/2022	Common Stock	30,000	\$ 87.06	D	Â
Stock Option (Right to Buy)	12/06/2014 ⁽⁶⁾	12/06/2023	Common Stock	20,000	\$ 98.21	D	Â
Stock Option (Right to Buy)	12/11/2015 ⁽⁷⁾	12/11/2024	Common Stock	28,000	\$ 113.36	D	Â
Stock Option (Right to Buy)	12/09/2016 ⁽⁸⁾	12/09/2025	Common Stock	26,269	\$ 128.93	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harrington Michael C 34 MAPLE STREET MILFORD,Â MAÂ 01757	Â	Â	Â Sr VP, Global Markets	Â

Signatures

/s/ Michael C
Harrington

02/11/2016

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 10,182 Restricted Stock Units vest 20% per annum for a 5 year period beginning on December 6, 2014. A total of 1,919 shares of common stock representing taxes were withheld at vesting dates December 6, 2014 and December 6, 2015.
- (2) The grant of 20,000 options vested 20% per annum for a 5 year period beginning on December 11, 2008.
- (3) These options vest 20% per annum for a 5 year period beginning on December 9, 2011.
- (4) The grant of 30,000 options vest 20% per annum for a 5 year period beginning on December 7, 2012.
- (5) These options vest 20% per annum for a 5 year period beginning on December 11, 2013.
- (6) These options vest 20% per annum for a 5 year period beginning on December 6, 2014.
- (7) These options vest 20% per annum for a 5 year period beginning on December 11, 2015.
- (8) These options vest 20% per annum for a 5 year period beginning on December 9, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Edgar Filing: WATERS CORP /DE/ - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.