

FERRO CORP
Form 4
February 17, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Barna James

(Last) (First) (Middle)

6060 PARKLAND BLVD., SUITE 250

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FERRO CORP [FOE]

3. Date of Earliest Transaction (Month/Day/Year)
02/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock				(A) or (D) Price	5,785	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: FERRO CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Stock Options (Right to Buy)	\$ 14.27	02/15/2017		A	4,100	02/15/2018	02/15/2027	Common Stock	4,100
Restricted Share Unit	\$ 0	02/15/2017		A	1,400	02/15/2020	02/15/2020	Common Stock	1,400
Performance Share Unit	\$ 0	02/15/2017		A	3,500	<u>(1)</u>	12/31/2019	Common Stock	3,500
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2016	Common Stock	3,500
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2017	Common Stock	3,500
Performance Share Unit	\$ 0					<u>(1)</u>	12/31/2018	Common Stock	3,500
Phantom Shares	<u>(2)</u>					<u>(2)</u>	<u>(2)</u>	Common Stock	1,400
Restricted Share Unit	\$ 0					02/21/2016 ⁽³⁾	02/21/2016	Common Stock	2,000
Restricted Share Unit	\$ 0					02/20/2017	02/20/2017	Common Stock	2,000
Restricted Share Unit	\$ 0					02/18/2018	02/18/2018	Common Stock	2,000
Restricted Share Unit	\$ 0					02/17/2019	02/17/2019	Common Stock	2,000
Stock Options (Right to Buy)	\$ 6.84					02/23/2013	02/23/2022	Common Stock	6,000
Stock Options (Right to Buy)	\$ 5.29					02/21/2014	02/21/2023	Common Stock	6,000
Stock	\$ 13.09					02/20/2015	02/20/2024	Common	1,000

