

MILLER JAMES B JR  
Form 5  
February 09, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
MILLER JAMES B JR

2. Issuer Name and Ticker or Trading Symbol  
FIDELITY SOUTHERN CORP  
[LION]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement of Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman / Executive Officer

3490 PIEDMONT ROAD, SUITE 1550

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

ATLANTA, GA 30305

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)              | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
|  |                                      |  |                                | (A) Amount or (D) Price   |  |  |                                   |
| Fidelity Southern Corporation - Common Stock | 12/31/2017                           | Â  | J                              | 90.5054 D   | \$ 0 (1) 739.8072  | I  | By 401(k)                         |
| Fidelity Southern Corporation - Common       | 12/31/2017                           | Â  | J                              | 251.5383 A  | \$ 0 (2) 13,029.5491   | I  | By Grandchild - N.p. Miller       |

Stock

|  |            |   |   |            |   |             |              |   |                                   |
|--|------------|---|---|------------|---|-------------|--------------|---|-----------------------------------|
| Fidelity Southern Corporation - Common Stock | 12/31/2017 | Â | J | 2,400.6249 | A | \$ 0<br>(2) | 111,925.1311 | I | By Trust - Spouse Estate          |
| Fidelity Southern Corporation - Common Stock | 12/31/2017 | Â | J | 5,549.3321 | A | \$ 0<br>(2) | 258,728.413  | I | By Shares Held By Ltd Partnership |
| Fidelity Southern Corporation - Common Stock | Â          | Â | Â | Â          | Â | Â           | 2,858,019    | D | Â                                 |
| Fidelity Southern Corporation - Common Stock | Â          | Â | Â | Â          | Â | Â           | 247,671.74   | I | By Trust - Family                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E I F I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|------------------------|
|  |  |                                      |  |                                | (A) (D)   | Date Exercisable Expiration Date                         | Title or Number of Shares                                     |  |                        |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

MILLER JAMES B JR  
3490 PIEDMONT ROAD  
SUITE 1550  
ATLANTA, GA 30305

Chairman  Executive Officer

## Signatures

Brittany Caylor, Attorney in Fact for James B.  
Miller Jr.

02/09/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reallocation of 401(k) assets during the reporting year
  - (2) Reinvested cash dividend shares received during the reporting year.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.