Rankin Julia L Form 4

# November 14, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287

Number: Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rankin Julia L

(First)

5875 LANDERBROOK DRIVE

2. Issuer Name and Ticker or Trading Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

(Month/Day/Year) 11/12/2018

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Middle)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	11/12/2018		P	2 (1)	A	\$ 64.71 (2)	352	I	Proportionate interest in shares held by Rankin Associates VI	
Class A Common Stock	11/12/2018		Р	3 (1)	A	\$ 64.71 (2)	418	I	Spouse's proportionate interest in shares held by Rankin Associates VI	
	11/12/2018		P	2 (1)	A		418	I		

## Edgar Filing: Rankin Julia L - Form 4

Class A Common Stock					\$ 64.71 (2)			Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/12/2018	P	2 (1)	A	\$ 64.71 (2)	418	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/13/2018	P	3 (1)	A	\$ 63.22 ( <u>3)</u>	355	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/13/2018	P	3 (1)	A	\$ 63.22 (3)	421	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/13/2018	P	2 (1)	A	\$ 63.22 (3)	420	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/13/2018	P	2 (1)	A	\$ 63.22 (3)	420	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						712	I	Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP (4)
Class A Common Stock						240	I	By Spouse (4)

### Edgar Filing: Rankin Julia L - Form 4

Class A Common Stock	13,997	I	proportionate limited partnership interests in shares held by Rankin Associates II, L.P
Class A Common Stock	10,242	I	Held by Trust for the benefit of Reporting Person
Class A Common Stock	567	I	Child's proportionate limited partnership interest in shares held by Rankin Associates II, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) erivative curities equired a) or sposed (D) nstr. 3,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(5)</u>					<u>(5)</u>	(5)	Class A Common Stock	712	

(9-02)

Class B Common Stock	<u>(5)</u>	<u>(5)</u>	(5)	Class A Common Stock	240
Class B Common Stock	<u>(5)</u>	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	13,997
Class B Common Stock	(5)	<u>(5)</u>	<u>(5)</u>	Class A Common Stock	10,072
Class B Common Stock	<u>(5)</u>	(5)	(5)	Class A Common Stock	567

## **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Rankin Julia L 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/14/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

Reporting Owners 4

### Edgar Filing: Rankin Julia L - Form 4

- (2) 2018-Nov-12-Weighted Average Share Price represents average price between \$64.37 and \$64.99.
- (3) 2018-Nov-13-Weighted Average Share Price represents average price between \$62.56 and \$63.47.
- (4) Reporting Person disclaims beneficial ownership of all such shares.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.