

RANKIN ALFRED M ET AL  
Form 4  
November 29, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5875 LANDERBROOK DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/28/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman

MAYFIELD HEIGHTS, OH 44124  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |         |   |  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------|---|--|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price                             |         |   |  |
| Class A Common Stock            | 11/28/2018                           |  | P                              |   | 35 <sup>(1)</sup>   | A  | \$ 64.52 <sup>(2)</sup>           | 107,909 | I | Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler |
| Class A Common Stock            | 11/28/2018                           |  | P                              |   | 35 <sup>(1)</sup>   | A  | \$ 64.52 <sup>(2)</sup>           | 107,909 | I | Reporting Person Serves as Trustee for GSTs for the Benefit of                 |

|                            |            |   |                   |   |                           |         |   |  |
|----------------------------|------------|---|-------------------|---|---------------------------|---------|---|--|
| Class A<br>Common<br>Stock | 11/28/2018 | P | 16 <sup>(1)</sup> | A | \$<br>64.98<br><u>(3)</u> | 107,925 | I | Clara R.<br>Williams<br><br>Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Helen R.<br>Butler |
| Class A<br>Common<br>Stock | 11/28/2018 | P | 16 <sup>(1)</sup> | A | \$<br>64.98<br><u>(3)</u> | 107,925 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Clara R.<br>Williams                           |
| Class A<br>Common<br>Stock | 11/28/2018 | P | 62 <sup>(1)</sup> | A | \$<br>64.52<br><u>(2)</u> | 107,987 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Helen R.<br>Butler                             |
| Class A<br>Common<br>Stock | 11/28/2018 | P | 62 <sup>(1)</sup> | A | \$<br>64.52<br><u>(2)</u> | 107,987 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Clara R.<br>Williams                           |
| Class A<br>Common<br>Stock | 11/28/2018 | P | 28 <sup>(1)</sup> | A | \$<br>64.98<br><u>(3)</u> | 108,015 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Helen R.<br>Butler                             |
| Class A<br>Common<br>Stock | 11/28/2018 | P | 28 <sup>(1)</sup> | A | \$<br>64.98<br><u>(3)</u> | 108,015 | I | Reporting<br>Person Serves<br>as Trustee for<br>GSTs for the<br>Benefit of<br>Clara R.<br>Williams                           |
| Class A<br>Common<br>Stock |            |   |                   |   |                           | 21,920  | I | Represents the<br>proportionate<br>limited   |

|                            |         |   |   |
|----------------------------|---------|---|---|
| Class A<br>Common<br>Stock | 14,752  | I | partnership<br>interest in<br>shares held by<br>AMR<br>Associates LP<br><br>Held in an<br>Individual<br>Retirement<br>Account for<br>the benefit of<br>the Reporting<br>Person. |
| Class A<br>Common<br>Stock | 117,122 | I | Reporting<br>Person serves<br>as Trustee of a<br>Trust for the<br>benefit of the<br>Alfred M.<br>Rankin, Jr.  |
| Class A<br>Common<br>Stock | 10,271  | I | proportionate<br>LP interest in<br>shares held by<br>RA I in<br>qualified<br>annuity<br>interest<br>trust-benefit<br>of AMR   |
| Class A<br>Common<br>Stock | 541     | I | Represents the<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates II,<br>LP  |
| Class A<br>Common<br>Stock | 1,087   | I | Proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates IV,<br>L.P   |
| Class A<br>Common<br>Stock | 513     | I | Represents the<br>proportionate   |

|                      |        |   |  |  |
|----------------------|--------|---|--|--|
| Stock                |        |   |  | interest in shares held by RA5   |
| Class A Common Stock | 206    | I |  | Represents the proportionate interest in shares held by RAVI   |
| Class A Common Stock | 1,975  | I |  | proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner               |
| Class A Common Stock | 61     | I |  | Proportionate Interest in shares held by RA5 held by Rankin Management Inc.                                    |
| Class A Common Stock | 70     | I |  | Proportionate Interest in shares held by RA6 held by Rankin Management Inc.                                    |
| Class A Common Stock | 18,625 | I |  | Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. <sup>(4)</sup> |
| Class A Common Stock | 42,666 | I |  | proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin <sup>(4)</sup>   |
|                      | 25,099 | I |  |  |

|                            |        |   |  |   |
|----------------------------|--------|---|--|---|
| Class A<br>Common<br>Stock |        |   |  | proportionate<br>LP interest in<br>shares held by<br>RA II LP held<br>in trust for<br>benefit of<br>Bruce Rankin<br><u>(4)</u>  |
| Class A<br>Common<br>Stock | 46,182 | I |  | Trustee of a<br>Trust for the<br>benefit of<br>Bruce T<br>Rankin,<br>Trust's<br>proportionate<br>interest of<br>RAIV <u>(4)</u> |
| Class A<br>Common<br>Stock | 448    | I |  | Brother's<br>Proportionate<br>interest in<br>share held by<br>Rankin<br>Associates VI   |
| Class A<br>Common<br>Stock | 25,981 | I |  | Reporting<br>person serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Bruce T.<br>Rankin <u>(4)</u>                   |
| Class A<br>Common<br>Stock | 12,802 | I |  | Serves as<br>Trustee of<br>Trust fbo<br>Clara Rankin<br>representing<br>interest in<br>shares held by<br>RA5                    |
| Class A<br>Common<br>Stock | 8,651  | I |  | Serves as<br>trustee of trust<br>for the benefit<br>of Clara<br>Rankin <u>(5)</u>   |
| Class A<br>Common<br>Stock | 9,600  | I |  | Reporting<br>Person serves<br>as Trustee of<br>Trusts for the   |

|                            |        |   |  |
|----------------------------|--------|---|--|
| Class A<br>Common<br>Stock | 447    | I | benefit of each<br>of grantor's<br>grandchildren<br>(4)<br><br>Spouse's<br>proportionate<br>interest in<br>shares held by<br>RA VI |
| Class A<br>Common<br>Stock | 55,622 | I | spouse serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.<br>Rankin (4)                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |
| Class B<br>Common<br>Stock                 | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A<br>Common<br>Stock                 | 25,524                     |
| Class B<br>Common                          | (6)  |                                      |  |                                |   | (6)  | (6)   | Class A<br>Common                          | 14,160                     |

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| Stock                      |            |            |            | Stock                      |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 17,556 |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 18,373 |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 541    |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 1,975  |
| Class B                    | <u>(6)</u> | <u>(6)</u> | <u>(6)</u> | Class A                    | 18,625 |

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|                            |            |  |            |            |                            |        |
|----------------------------|------------|--|------------|------------|----------------------------|--------|
| Common<br>Stock            |            |  |            |            | Common<br>Stock            |        |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 76,330 |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 25,099 |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 73,166 |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 747    |
| Class B<br>Common<br>Stock | <u>(6)</u> |  | <u>(6)</u> | <u>(6)</u> | Class A<br>Common<br>Stock | 9,600  |



