

Slone Robert Victor  
Form 4  
December 04, 2018

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Slone Robert Victor

2. Issuer Name and Ticker or Trading Symbol  
STEPAN CO [SCL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

22 WEST FRONTAGE ROAD

11/30/2018

VP Chief Tech & Sustainability

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

NORTHFIELD, IL 60093

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount Price			
Common Stock	11/30/2018		D <sup>(1)</sup>	1,377 D \$ 80.48	7,493.1011	D	
Common Stock	11/30/2018		M	1,377 A \$ 57.79	7,049.1011	D	
Common Stock	11/30/2018		D <sup>(2)</sup>	2,088 D \$ 80.48	5,405.1011	D	
Common Stock	11/30/2018		F <sup>(3)</sup>	651 D \$ 80.48	4,754.1011	D	
Common Stock	11/30/2018		M <sup>(4)</sup>	1,821 A \$ 62.41	8,870.1011	D	

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Common Stock	11/30/2018	<u>D</u> <sup>(2)</sup>	1,412	D	\$ 80.48	3,342.1011	D	
Common Stock	11/30/2018	<u>F</u> <sup>(3)</sup>	153	D	\$ 80.48	3,189.1011	D	
Common Stock	11/30/2018	<u>M</u> <sup>(4)</sup>	1,392	A	\$ 78.58	4,581.1011	D	
Common Stock	11/30/2018	<u>D</u> <sup>(2)</sup>	1,361	D	\$ 80.42	3,220.1011	D	
Common Stock	11/30/2018	<u>F</u> <sup>(3)</sup>	12	D	\$ 80.42	3,208.1011	D	
Common Stock	11/30/2018	<u>M</u> <sup>(4)</sup>	3,832	A	\$ 43.85	10,881.1011	D	
Common Stock						463.221	I	By Esop II Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Appreciation Right	\$ 57.79	11/30/2018		M	1,377	11/04/2015	11/03/2023	Common Stock	1,377
Stock Appreciation Right	\$ 62.41	11/30/2018		<u>M</u> <sup>(4)</sup>	1,821	07/19/2018	07/18/2026	Common Stock	1,821
Stock Appreciation Right	\$ 78.58	11/30/2018		<u>M</u> <sup>(4)</sup>	1,392	02/21/2018	02/20/2027	Common Stock	1,392

