Butler Clara R Form 4 December 13, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Butler Clara R

Symbol

(Check all applicable)

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Month/Day/Year)

(Middle)

3. Date of Earliest Transaction

Director 10% Owner Officer (give title \_\_X\_ Other (specify

5875 LANDERBROOK DRIVE

(Street)

(First)

12/11/2018

Member of a Group

below)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Filed(Month/Day/Year)

Person

below)

#### MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                              | (Zip) Tak   | ole I - Non-  | Derivativ    | e Secı  | ırities Ac         | equired, Dispose   | d of, or Benef   | icially Owned   |  |
|--------------------------------------|--------------------------------------|---|---|--------------|---|--------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | cution Date, if Transaction(A) of Code (Inst nth/Day/Year) (Instr. 8) |              | Securities Acquired a) or Disposed of (D) astr. 3, 4 and 5)  (A) or |                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)   |  |
| Class A<br>Common<br>Stock           | 12/11/2018                           |   | Code V  | Amount 4 (1) | (D)   | Price \$ 62.41 (2) | 468  | I  | Proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates VI |  |
| Class A<br>Common<br>Stock           | 12/12/2018                           |   | P   | 3 (1)        | A   | \$ 63.56 (3)       | 471  | I  | Proportionate limited partnership interest in shares held by  |  |

### Edgar Filing: Butler Clara R - Form 4

|  |                                       |            | Rankin<br>Associates VI  |
|--|---------------------------------------|------------|--|
| Class A<br>Common<br>Stock   | 677                                   | I          | proportionate<br>partnership<br>interest shares<br>held by AMR<br>Associates LP<br>held in trust     |
| Class A<br>Common<br>Stock   | 4,513                                 | I          | Held in Trust<br>for Reporting<br>Person. Father<br>is Custodian.                                    |
| Class A Common Stock   | 8,416                                 | I          | Proportionate<br>limited<br>partnership<br>interests in<br>shares held by<br>Rankin<br>Associates II |
| Reminder: Report on a separate line for each class of securities benef | icially owned directly or indirectly. |            |  |
|  | Persons who respond to the col        | lection of | SEC 1474   |

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

number.

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | Securitie  |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|--------------------------------------|--|---------------------|--------------------|---|--|---|
|   | Security  |   |   |                                      | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ı                   |                    |   |  |   |
|   |   |   |   | Code V                               | <sup>7</sup> (А) (D)                                 | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |
| Class B<br>Common<br>Stock                          | <u>(4)</u>  |   |   |                                      |  | <u>(4)</u>          | <u>(4)</u>         | Class A<br>Common<br>Stock                                    | 790                                    |   |

(9-02)

| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 3,683 |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(4)</u> | <u>(4)</u> | <u>(4)</u> | Class A<br>Common<br>Stock | 8,416 |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Butler Clara R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

# **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/13/2018

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-11-Weighted Average Share Price represents average price between \$62.15 and \$62.49.
- (3) 2018-Dec-12-Weighted Average Share Price represents average price between \$63.45 and \$63.60.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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