

RANKIN ALFRED M ET AL  
 Form 4  
 January 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol  
 HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 5875 LANDERBROOK DRIVE  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 12/31/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman

MAYFIELD HEIGHTS, OH 44124  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)		
			Code	V	Amount	(D)	Price		
Class A Common Stock	12/31/2018		P	30 <sup>(1)</sup>	A	\$ 62.35 <sup>(2)</sup>	112,924	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	12/31/2018		P	30 <sup>(1)</sup>	A	\$ 62.35 <sup>(2)</sup>	112,924	I	Reporting Person Serves as Trustee for GSTs for the Benefit of

Edgar Filing: RANKIN ALFRED M ET AL - Form 4

Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	85	I	Clara R. Williams  Proportionate Interest in shares held by RA6 held by Rankin Management Inc.
Class A Common Stock	12/31/2018	P	146 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	113,070	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	12/31/2018	P	146 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	113,070	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	12/31/2018	P	2 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	248	I	Represents the proportionate interest in shares held by RAVI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	538	I	Spouse's proportionate interest in shares held by RA VI
Class A Common Stock	12/31/2018	P	4 <u>(1)</u>	A	\$ 61.81 <u>(3)</u>	539	I	Brother's Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	12/31/2018	P	54 <u>(1)</u>	A	\$ 62.35 <u>(2)</u>	113,124	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
	12/31/2018	P	54 <u>(1)</u>	A		113,124	I	

Edgar Filing: RANKIN ALFRED M ET AL - Form 4

Class A Common Stock					\$ 62.35 <u>(2)</u>			Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	12/31/2018	P	1 <u>(1)</u>	A	\$ 62.35 <u>(2)</u>	249	I	Represents the proportionate interest in shares held by RAVI
Class A Common Stock						21,920	I	Represents the proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person.
Class A Common Stock						126,909	I	Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
Class A Common Stock						10,271	I	proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock						541	I	Represents the proportionate limited partnership

				interest in shares held by Rankin Associates II, LP
Class A Common Stock	19	I		proportionate general partnership interest shares held by RAIV
Class A Common Stock	1,087	I		Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	586	I		Represents the proportionate interest in shares held by RA5
Class A Common Stock	1,975	I		proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
Class A Common Stock	69	I		Proportionate Interest in shares held by RA5 held by Rankin Management Inc.
Class A Common Stock	18,625	I		Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. <sup>(4)</sup>
	25,099	I		

Class A Common Stock				proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin <u>(4)</u>
Class A Common Stock	46,182	I		Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV <u>(4)</u>
Class A Common Stock	40,662	I		Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin <u>(4)</u>
Class A Common Stock	14,631	I		Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	13,543	I		Serves as trustee of trust for the benefit of Clara Rankin <u>(5)</u>
Class A Common Stock	9,600	I		Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <u>(4)</u>
Class A Common	75,194	I		spouse serves as Trustee of a

Edgar Filing: RANKIN ALFRED M ET AL - Form 4

Stock							Trust for the benefit of Victoire G. Rankin <sup>(4)</sup>
Class A Common Stock					42,666	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	25,524
Class B Common Stock	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Class A Common Stock	14,160

Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	17,556
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	18,373
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	541
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	31
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(6)</u>	<u>(6)</u>	<u>(6)</u>	Class A Common Stock	1,975

Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	76,330
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	25,099
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	73,166
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	747
Class B Common Stock	<u>(6)</u>		<u>(6)</u>	<u>(6)</u>	Class A Common Stock	9,600





## Edgar Filing: RANKIN ALFRED M ET AL - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.