#### RANKIN THOMAS T

Form 4

March 05, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

RANKIN THOMAS T

Symbol

(Month/Day/Year)

03/04/2019

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

3. Date of Earliest Transaction

2. Issuer Name and Ticker or Trading

(First) 5875 LANDERBROOK DRIVE

(Middle)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

MAYFIELD HEIGHTS, OH 44124

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	03/04/2019		Р	1	A	\$ 64.98 (1)	557	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock							3,622	I	Held by Spouse (2)
Class A Common Stock							14,343	I	Spouses proportionate interest in

			shares held by RA1 (2)
Class A Common Stock	1,843	I	spouse's proportionate limited partnership interest in shares held by RA II LP (2)
Class A Common Stock	27,007	I	Serves as Trustee of GSTs for the benefit of James Rankin
Class A Common Stock	27,007	I	Serves as Trustee of GSTs for the benefit of Matthew Rankin
Class A Common Stock	27,007	I	Serves as trustee of GSTs for the benefit of Thomas P. Rankin
Class A Common Stock	7	D	
Class A Common Stock	19	I	proportionate general partnership interest in shares of Rankin Associates IV, L.P held by the Trust
Class A Common Stock	25,324	I	proportionate interest in shares held in RA1
Class A Common Stock	34,849	I	proportionate limited partnership interests in shares held by

		Rankin Associates II, L.P				
Class A Common Stock	65,824	Proportionate LP interest in shares held in RA4				
Class A Common Stock	600	Proportionate Interest in shares held by RAV				
Class A Common Stock	257	Reporting person's proportionate I interest in shares held in Rankin Associates VI				
Class A Common Stock	71	Proportionate interest in shares held by RA5 held by RMI				
Class A Common Stock	88	Proportionate interest in shares held by RA6 held by RMI				
Class A Common Stock	1,975	Proportionate interest in shares held in RMI				
Class A Common Stock	153,229	Reporting Person serves as Trustee of a Trust for the benefit of Thomas T. Rankin				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of SEC 1474						
	reisons who respond to the colle	SEC 14/4				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	0 S S A (A C O (I		ative ties red sed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	V (.	A) (	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(3)</u>							(3)	(3)	Class A Common Stock	3,622
Class B Common Stock	(3)							<u>(3)</u>	(3)	Class A Common Stock	25,657
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	1,843
Class B Common Stock	<u>(3)</u>							(3)	(3)	Class A Common Stock	6,889
Class B Common Stock	<u>(3)</u>							(3)	(3)	Class A Common Stock	6,889
Class B Common Stock	(3)							(3)	(3)	Class A Common Stock	6,889
Class B	<u>(3)</u>							(3)	(3)	Class A	7

8. Price Derivati Security (Instr. 5

Common Stock				Common Stock	
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	31
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	45,300
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	34,849
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	104,286
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	1,975
Class B Common Stock	(3)	(3)	(3)	Class A Common Stock	145,912

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN THOMAS T 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 5

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2019-Mar-4-Weighted Average Share Price represents average price between \$64.91 and \$64.99.
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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