#### SEELBACH CHLOE R

Form 4

March 06, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average 0.5

burden hours per

response...

Form filed by More than One Reporting

Person

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person  SEELBACH CHLOE R | 2. Issuer Name <b>and</b> Ticker or Trading Symbol          | 5. Relationship of Reporting Person(s) to Issuer                                 |  |  |  |
|---|---|--|--|--|--|
|   | HYSTER-YALE MATERIALS<br>HANDLING, INC. [HY]                | (Check all applicable)   |  |  |  |
| (Last) (First) (Middle) 5875 LANDERBROOK DRIVE            | 3. Date of Earliest Transaction (Month/Day/Year) 03/06/2019 | Director 10% Owner Officer (give titleX Other (specify below)  Member of a Group |  |  |  |
| (Street)  | 4. If Amendment, Date Original                              | 6. Individual or Joint/Group Filing(Check  |  |  |  |
|   | Filed(Month/Day/Year)                                       | Applicable Line) _X_ Form filed by One Reporting Person                          |  |  |  |

#### MAYFIELD HEIGHTS, OH 44124

| (City)                               | (State)                              | (Zip) Tal   | ole I - Non                            | -Derivativ                      | e Sec  | urities Ac  | equired, Dispose   | d of, or Benef   | icially Owned   |
|--------------------------------------|--------------------------------------|---|--|---------------------------------|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securion(A) or Do (Instr. 3, | ispose | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                 |
| Class A<br>Common<br>Stock           | 03/06/2019                           |   | P                                      | 2                               | A      | \$<br>64.99 | 470  | I  | Proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI             |
| Class A<br>Common<br>Stock           | 03/06/2019                           |   | Р                                      | 3                               | A      | \$<br>64.99 | 558  | I  | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI |
|                                      | 03/06/2019                           |   | P                                      | 3                               | A      |             | 558  | I  |   |

## Edgar Filing: SEELBACH CHLOE R - Form 4

| Class A<br>Common<br>Stock |            |   |   |   | \$<br>64.99 |        |   | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                 |
|----------------------------|------------|---|---|---|-------------|--------|---|--|
| Class A<br>Common<br>Stock | 03/06/2019 | P | 3 | A | \$<br>64.99 | 558    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                 |
| Class A<br>Common<br>Stock | 03/06/2019 | P | 3 | A | \$<br>64.99 | 558    | I | Child's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                 |
| Class A<br>Common<br>Stock |            |   |   |   |             | 10,497 | I | Reporting<br>Person?s<br>proportionate<br>interests in<br>shares held by<br>Rankin<br>Associates II. |
| Class A<br>Common<br>Stock |            |   |   |   |             | 94     | I | proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                             |
| Class A<br>Common<br>Stock |            |   |   |   |             | 7,326  | I | Held by Trust<br>for the benefit<br>of Reporting<br>Person.  |
| Class A<br>Common<br>Stock |            |   |   |   |             | 634    | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II.                |
| Class A<br>Common<br>Stock |            |   |   |   |             | 563    | I | Reporting<br>Person is<br>trustee of<br>Trust fbo<br>minor child.                                    |

#### Edgar Filing: SEELBACH CHLOE R - Form 4

|                      |       |   | <u>(1)</u>  |
|----------------------|-------|---|---|
| Class A Common Stock | 722   | I | Held by spouse (1)  |
| Class A Common Stock | 1,321 | I | Spouse's proportionate interests in shares held by Rankin Associates II.              |
| Class A Common Stock | 475   | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | 722   | I | Reporting Person is trustee of Trust fbo minor child.                                 |
| Class A Common Stock | 853   | I | Minor child's trust?s proportionate interests in shares held by Rankin Associates II. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|------------|-------------------------|------------------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Date         | Underlying Securities  | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/Year)        | (Instr. 3 and 4)       | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                       |                        | (Instr. 5)  |
|             | Derivative  |                     |                    |            | Securities |                         |                        |             |
|             | Security    |                     |                    |            | Acquired   |                         |                        |             |

## Edgar Filing: SEELBACH CHLOE R - Form 4

(A) or Disposed of (D) (Instr. 3, 4, and 5)

|                            |            | 4, and 5) |   |     |     |                     |                    |                            |                                     |
|----------------------------|------------|-----------|---|-----|-----|---------------------|--------------------|----------------------------|-------------------------------------|
|                            |            | Code      | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |
| Class B<br>Common<br>Stock | (2)        |           |   |     |     | <u>(2)</u>          | (2)                | Class A<br>Common<br>Stock | 10,497                              |
| Class B<br>Common<br>Stock | (2)        |           |   |     |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 10,756                              |
| Class B<br>Common<br>Stock | <u>(2)</u> |           |   |     |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 634                                 |
| Class B<br>Common<br>Stock | <u>(2)</u> |           |   |     |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 563                                 |
| Class B<br>Common<br>Stock | (2)        |           |   |     |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 722                                 |
| Class B<br>Common<br>Stock | (2)        |           |   |     |     | (2)                 | (2)                | Class A<br>Common<br>Stock | 1,321                               |
| Class B<br>Common<br>Stock | <u>(2)</u> |           |   |     |     | <u>(2)</u>          | <u>(2)</u>         | Class A<br>Common<br>Stock | 475                                 |

| Class B<br>Common<br>Stock | (2)        |  | (2) | (2) | Class A<br>Common<br>Stock | 722 |
|----------------------------|------------|--|-----|-----|----------------------------|-----|
| Class B<br>Common<br>Stock | <u>(2)</u> |  | (2) | (2) | Class A<br>Common<br>Stock | 853 |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SEELBACH CHLOE R 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

03/06/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 5