Kogan Yakov Form 4 November 22, 2010

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

**CLEVELAND BIOLABS INC** 

3. Date of Earliest Transaction

4. If Amendment, Date Original

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

[CBLI]

(Month/Day/Year)

Filed(Month/Day/Year)

11/17/2010

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Kogan Yakov

(Last)

(City)

(First) (Middle)

73 HIGH STREET

(Street)

BUFFALO,, NY 14203

1. Title of 2. Transaction Date 2A. Deemed

Security (Instr. 3)

(Month/Day/Year)

(State)

(Zip)

3. Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code

(Instr. 8)

4. Securities

(Instr. 3, 4 and 5)

Disposed of (D)

Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

\_X\_\_ Director

Applicable Line)

X\_ Officer (give title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

(Month/Day/Year)

3. Transaction Date 3A. Deemed Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

COO, Secretary

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

below)

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

Estimated average

burden hours per

1

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year	r) (Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
			Code V	(A) (D)	) Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Employee Stock Options (Right to Buy)	\$ 8.36				04/06/2007	04/05/2017	Common Stock	37,500
Employee Stock Options (Right to Buy)	\$ 4				02/04/2008	02/03/2018	Common Stock	137,250
Employee Stock Options (Right to Buy) (1)	\$ 3.79				03/01/2010	02/02/2010	Common Stock	30,000
Employee Stock Options (Right to Buy)	\$ 3.44				05/18/2010	05/17/2020	Common Stock	105,000
Employee Stock Options (Right to Buy) (1)	\$ 3.91				08/18/2010	08/17/2020	Common Stock	3,856
Employee Stock Options (Right to Buy) (2)	\$ 6.09	11/17/2010	A	4,255	11/17/2010	11/16/2020	Common Stock	4,255

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Troporting of the France of France of	Director	10% Owner	Officer	Other	
Kogan Yakov 73 HIGH STREET BUFFALO,, NY 14203	X		COO, Secretary		

Reporting Owners 2

#### **Signatures**

/s/ Yakov Kogan 11/22/2010

\*\*Signature of
Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Effective March 1, 2010, Cleveland BioLabs, Inc. hired Leah Brownlee as an employee. Ms. Brownlee is the spouse of the reporting person. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- On November 17, 2010, with the approval of the Compensation Committee, Cleveland BioLabs, Inc. issued stock options to Ms. Brownlee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ht="17" valign="bottom">

Construction work in progress

370 Total property, plant, and equipment (at original cost) 29,007 Accumulated depreciation and decommissioning (12,891)Net property, plant, and equipment 16,116 **Other Noncurrent Assets** Regulatory assets 1,982 Nuclear decommissioning trust funds 1,463 Other 1,020 Total other noncurrent assets 4.465 **TOTAL ASSETS** 

Signatures 3

	\$ 27,773
LIABILITIES AND EQUITY	
Liabilities	
Accounts payable	
Trade creditors	
	470
Related parties	
	205
Regulatory Balancing Accounts	
	172
Other	
	335
Accrued taxes	
	765
Rate reduction bonds	
	1,157
QUID's	
	-
Deferred income taxes	4 447
Deferred toy evadite	1,447
Deferred tax credits	128
Asset retirement obligations	120
Asset retirement obligations	1,211
Pre-petition secured debt	1,511
	2,837
Pre-petition liabilities	

Explanation of Responses:

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Pre-petition financing debt	3,960
	5,676
Preferred Stock With Mandatory Redemption Provisions	3,070
	137
Other liabilities	
	3,671
Total liabilities	
	22,171
Preferred Stock With Mandatory Redemption Provisions	
	_
Stockholders' Equity	
Preferred stock without mandatory redemption provisions	
Nonredeemable5% to 6%, outstanding 5,784,825 shares	4.45
	145
Redeemable4.36% to 7.04%, outstanding 5,973,456 shares	
	149
Common stock, \$5 par value, authorized 800,000,000 shares;	
issued 321,314,760 shares	
	1,606
Additional paid in capital	
	1,964
Reinvested earnings	
	1,819
Accumulated other comprehensive loss	
	(81)
Total stockholders' equity	
	5,602

27,773

#### PACIFIC GAS AND ELECTRIC COMPANY U.S. TRUSTEE BALANCE SHEET AS OF NOVEMBER 30, 2003

#### **Notes**

- 1 These unaudited financial statements are prepared for the U.S. Trustee and differ from the requirements of generally accepted accounting principles in that they exclude certain financial statements (statements of cash flows, stockholders equity, and other comprehensive income), relevant footnotes and certain reclassifications.
- 2These unaudited financial statements were prepared using certain assumptions and estimates. These assumptions and estimates are subject to revision. Further, the amounts shown in this statement, when reported on a quarterly basis, may differ materially due to adjustments in accruals, changes in facts and circumstances, changes in estimates, further analysis, and other factors.
- 3 Cash and cash equivalents have been reduced for uncleared checks. On the balance sheet included with the Utility's Annual Report, Form 10-K and 10-Q, uncleared checks are treated as an accounts payable liability.

# PACIFIC GAS AND ELECTRIC COMPANY U.S. TRUSTEE INCOME STATEMENT FOR THE MONTH ENDED NOVEMBER 30, 2003 AND THE THIRTY-TWO MONTHS ENDED NOVEMBER 30, 2003 (in millions)

	Month ended November 30, 2003	Case to date thirty-two months ended November 30, 2003	
OPERATING REVENUES	\$ 862	· ·	
OPERATING EXPENSES:			
Cost of Electric Energy	178	4,078	
Cost of Gas	158	3,178	
Operating and Maintenance	231	7,475	
Depreciation, Decommissioning, and Amortization	103	2,998	
Total Operating Expenses	670	17,729	
OPERATING INCOME (LOSS)	192	10,349	
Interest Income (Expense)	(68)	(2,353)	
Professional Fees	(1)	(79)	

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Other Income and (Expense)	0	8
PRE-TAX INCOME (LOSS)	123	7,925
Income Taxes	46	3,032
EARNINGS (LOSS)	77	4,893
Preferred Dividend Requirement Cumulative Effect of Change in Accounting Principle	1 -	62 1
EARNINGS (LOSS) AVAILABLE FOR (ALLOCATED TO) COMMON STOCK	\$ 76	\$ 4,830

## PACIFIC GAS AND ELECTRIC COMPANY U.S. TRUSTEE INCOME STATEMENT FOR THE MONTH ENDED NOVEMBER 30, 2003 AND THE THIRTY-TWO MONTHS ENDED NOVEMBER 30, 2003

#### **Notes**

- 1 These unaudited financial statements are prepared for the U.S. Trustee and differ from the requirements of generally accepted accounting principles in that they exclude certain financial statements (statements of cash flows, stockholders equity, and other comprehensive income), relevant footnotes and certain reclassifications.
- 2These unaudited financial statements were prepared using certain assumptions and estimates. These assumptions and estimates are subject to revision. Further, the amounts shown in this statement, when reported on a quarterly basis, may differ materially due to adjustments in accruals, changes in facts and circumstances, changes in estimates, further analysis, and other factors.

The results for the month of November 2003 are not indicative of future earnings. Future earnings could differ materially.

- 3 These unaudited financial statements were prepared using certain assumptions and estimates, including the estimated amount payable to the California Department of Water Resources (DWR). The estimated amount recorded is subject to revision and actual results could differ materially. Revenues collected on behalf of the DWR and the related costs are not reflected in these unaudited financial statements as Pacific Gas and Electric Company is a collection agent for the DWR.
- 4 Case to date results reflect the entire thirty-two month period ended November 30, 2003. The bankruptcy petition date is April 6, 2001.