

BROUGHTON THOMAS A
Form 5
February 14, 2012

FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
BROUGHTON THOMAS A

2. Issuer Name and Ticker or Trading Symbol
ServisFirst Bancshares, Inc. [N/A]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

P.O. BOX 1508

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2011

6. Individual or Joint/Group Reporting

(check applicable line)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

BIRMINGHAM, AL 35201

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|-------|--|--|---|
| Common Stock | 11/30/2011 | ^ | G | 900 | D | \$ 0 | 136,852 | D | ^ |
| Common Stock | ^ | ^ | 3 ⁽¹⁾ | ^ | ^ | ^ | ^ | I | By wife ⁽⁴⁾ |
| Common Stock | ^ | ^ | 3 ⁽²⁾ | ^ | ^ | ^ | ^ | I | By step-daughter ⁽⁴⁾ |
| | ^ | ^ | 3 ⁽³⁾ | ^ | ^ | ^ | ^ | I | |

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| | | | | | | | | | |
|--------------|------------|---|----|-----|---|-------|-------|---|----------------------|
| Common Stock | | | | | | | | | By step-daughter (4) |
| Common Stock | 02/10/2011 | Â | P4 | 400 | A | \$ 25 | 7,816 | I | By wife (4) |
| Common Stock | 02/10/2011 | Â | P4 | 400 | A | \$ 25 | 1,100 | I | By step-daughter (4) |
| Common Stock | 02/10/2011 | Â | P4 | 400 | A | \$ 25 | 1,100 | I | By step-daughter (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| BROUGHTON THOMAS A P.O. BOX 1508 BIRMINGHAM, AL 35201 | Â X | Â | Â President and CEO | Â |

Signatures

/s/ Matthew T. Franklin,
Attorney-in-Fact

02/14/2012

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Mr. Broughton's wife owned 7,416 shares of common stock on May 27, 2008, the date on which Mr. Broughton became a reporting person.
- (2) Mr. Broughton's step-daughter owned 700 shares of common stock on May 27, 2008, the date on which Mr. Broughton became a reporting person.
- (3) Mr. Broughton's step-daughter owned 700 shares of common stock on May 27, 2008, the date on which Mr. Broughton became a reporting person.
- (4) Mr. Broughton disclaims beneficial ownership of these shares, and this report should not be deemed an admission that Mr. Broughton is the beneficial owner of these shares for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.