

TRANSGENOMIC INC  
Form 4  
May 07, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
COLONNESE MARK P

(Last) (First) (Middle)

C/O TRANSGENOMIC,  
INC., 12325 EMMET STREET

(Street)

OMAHA, NE 68164

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

TRANSGENOMIC INC [TBIO.OB]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|
|                                 |                                      |                                                    |                                | (A) or (D)                                                        | Code V Amount (D) Price                                                             |                                                          |                                                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|

## Edgar Filing: TRANSGENOMIC INC - Form 4

| (Instr. 3)         | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |   | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |
|--------------------|------------------------------------|------------------|------------|------------------------------------------------------------------|---|---------------------|--------------------|----------------------------|-------------------------------------|
|                    |                                    |                  |            | Code                                                             | V |                     |                    |                            |                                     |
| Purchase<br>Option | \$ 0.35                            | 05/03/2013       | A          | 66,667<br>(2)                                                    |   | 06/01/2014          | 05/02/2023         | Common<br>Stock <u>(1)</u> | 66,667                              |
| Purchase<br>Option | \$ 0.35                            | 05/03/2013       | A          | 66,667<br>(2)                                                    |   | 06/01/2015          | 05/02/2023         | Common<br>Stock <u>(1)</u> | 66,667                              |
| Purchase<br>Option | \$ 0.35                            | 05/03/2013       | A          | 66,666<br>(2)                                                    |   | 06/01/2016          | 05/02/2023         | Common<br>Stock <u>(1)</u> | 66,666                              |

## Reporting Owners

| Reporting Owner Name / Address                                                       | Relationships |           |                         |       |
|--------------------------------------------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                                                      | Director      | 10% Owner | Officer                 | Other |
| COLONNESE MARK P<br>C/O TRANSGENOMIC, INC.,<br>12325 EMMET STREET<br>OMAHA, NE 68164 |               |           | Chief Financial Officer |       |

## Signatures

/s/ Mark P.  
Colonnese

05/07/2013

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Common Stock, par value \$.01 per share of Transgenomic, Inc.

(2) The various numbers listed in Columns 5, 7 and 9 relate to a single option grant which entitles the reporting person to purchase up to an aggregate of 200,000 shares of Common Stock, par value \$.01 per share of Transgenomic, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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