

Horizon Technology Finance Corp
Form 4
March 24, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Anholt Investments Ltd.

(Last) (First) (Middle)

69 PITTS BAY
ROAD, BELVEDERE BUILDING,
4TH FLOOR

(Street)

HAMILTON, D0 HM08

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Horizon Technology Finance Corp
[HRZN]

3. Date of Earliest Transaction
(Month/Day/Year)
03/24/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price		
Common Stock, par value \$0.001 per share	03/24/2015		S		380,000	D \$ 13.95 891,414	I	See Footnote (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Anholt Investments Ltd. 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		X		
Compass Horizon Partners, LP 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		X		
Navco Management, Ltd. 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		X		
Path Spirit LTD 10 NORWICH STREET LONDON, X0 EC4A 1BD		X		

Signatures

Anholt Investments Ltd. By: /s/ Cora Lee Starzomski, Director	03/24/2015
_____ **Signature of Reporting Person	Date
Compass Horizon Partners, L.P. By: Navco Management Ltd., its general partner, By:/s/ Cora Lee Starzomski, Director	03/24/2015
_____ **Signature of Reporting Person	Date
Navco Management Ltd. By: /s/ Cora Lee Starzomski, Director	03/24/2015

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__Signature of Reporting Person

Date

Path Spirit LTD. By: /s/ Arthur F. Coady, Director

03/24/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

380,000 shares of the Issuer's common stock were sold by Compass Horizon Partners, L.P. pursuant to an underwritten public offering on March 19, 2015. The shares of the Issuer's common stock reported on this Form 4 are owned directly by Compass Horizon Partners, L.P., which is owned by Concorde Horizon Holdings LP and Navco Management, Ltd. Concorde Horizon Holdings LP is owned by Anholt

- (1) Investments Ltd. (formerly known as Compass Group Investments, Ltd.) and Navco Management, Ltd. Each of Anholt Investments Ltd. and Navco Management, Ltd. is wholly owned by Kattegat Limited, a Bermudian exempt company with its principal offices at Belvedere Building, 69 Pitts Bay Road, Pembroke HM 08, Bermuda. Kattegat Limited was formed for the purpose of holding and managing the endowed assets of The Kattegat Trust and is wholly owned by The Kattegat Trust.

The Kattegat Trust is a Bermudian charitable trust, engaged in the principal business of distributing income for charitable purposes, with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda. The trustee of The Kattegat Trust is Kattegat Private Trustees (Bermuda) Limited (the "Trustee"), a Bermudian trust company with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda.

- (2) Path Spirit Limited is the trust protector for The Kattegat Trust. The Trustee is wholly owned by The Lund Purpose Trust, a Bermudian purpose trust with its principal offices at Thistle House, 4 Burnaby Street, Hamilton HM 11, Bermuda, formed for the sole purpose of holding the shares of the Trustee. Each of Anholt Investments Ltd., Navco Management, Ltd., Path Spirit Limited and Concorde Horizon Holdings LP disclaims beneficial ownership of the shares of the Issuer's common stock directly held by Compass Horizon Partners, L.P. except to the extent of its respective pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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