

STANGER KENT W

Form 4

December 01, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
STANGER KENT W

2. Issuer Name **and** Ticker or Trading
Symbol

MERIT MEDICAL SYSTEMS INC
[MMSI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1600 W MERIT PARKWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)

11/29/2017

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

SOUTH JORDAN, UT 84095

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, No Par Value				(A) or (D)	42,277	I	By 401(k) plan ⁽¹⁾
Common Stock, No Par Value				Code V Amount (D) Price	4,271 ⁽²⁾	D	
Common Stock, No Par Value					29,524	I	Family Limited Liability Company ⁽³⁾

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Common Stock, No Par Value	11/29/2017	M	2,218	A	\$ 13.75	413,752	D
Common Stock, No Par Value	11/29/2017	S	2,218	D	\$ 44.57 (4)	411,534	D
Common Stock, No Par Value	11/30/2017	M	700	A	\$ 13.75	412,234	D
Common Stock, No Par Value	11/30/2017	S	700	D	\$ 44.5	411,534	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of
Non-qualified stock options (right to buy)	\$ 13.75	11/29/2017		M	2,218	08/11/2012 ⁽⁵⁾ 08/11/2018	Common Stock	2
Non-qualified stock options (right to buy)	\$ 13.75	11/30/2017		M	700	08/11/2012 ⁽⁵⁾ 08/11/2018	Common Stock	4
Non-qualified stock options (right to buy)	\$ 12.06					10/04/2015 ⁽⁶⁾ 10/04/2021	Common Stock	2
Non-qualified stock options (right to buy)	\$ 19.72					05/02/2017 ⁽⁷⁾ 05/02/2023	Common Stock	9
	\$ 18.8					05/26/2017 ⁽⁸⁾ 05/26/2023		25

Common
Stock

05/24/2018 ⁽⁹⁾	05/24/2024	Common Stock	2.
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STANGER KENT W 1600 W MERIT PARKWAY SOUTH JORDAN, UT 84095	X			

Signatures

Brian G. Lloyd,
Attorney-in-Fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents plan holdings as of 11/30/2017.
- (2) Employee stock purchase plan holdings as of 11/30/2017.
- (3) This report shall not be deemed an admission that the reporting person is the beneficial owner of the securities held by K.W.S. Properties LC.
- (4) The price reported in Column 4 of Table 1 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.50 to \$44.70, inclusive. The Reporting Person undertakes to provide to Merit Medical Systems, Inc., any security holder of Merit Medical Systems, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (5) Becomes exercisable in equal annual installments of 20% commencing on 08/11/2012.
- (6) Becomes exercisable in equal annual installments of 20% commencing on 10/04/2015.
- (7) Becomes exercisable in equal annual installments of 20% commencing on 05/02/2017.
- (8) Becomes exercisable in equal annual installments of 20% commencing on 05/26/2017.
- (9) Becomes exercisable in equal annual installments of 20% commencing on 05/24/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.