

Edgar Filing: WOODS WARD W JR - Form 3

	Date Exercisable	Expiration Date	(Instr. 4)	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
			Title				
Series A Convertible Preferred Stock	Â (2)	Â (2)	Common Stock	386,997	\$ 0	I	Shares owned by Woods 1994 Family Partnership, LP. (1)
Warrants (right to buy)	Â (3)	Â (3)	Common Stock	500,000	\$ 2	I	Shares owned by Woods 1994 Family Partnership, LP. (1)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WOODS WARD W JR C/O ALPHATEC SPINE, INC. 5818 EL CAMINO REAL CARLSBAD, CA 92008	Â X	Â	Â	Â

Signatures

/s/ Ward W. Woods 01/29/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person is President of North Hailey Corporation, which is the general partner and managing member of Woods 1994 Family Partnership, LP.
- (2) Each share of Series A Convertible Preferred Stock has no expiration date and is convertible into 500 shares of the Issuer's common stock following the date that shareholders approve such conversion, which approval was obtained on June 15, 2017.
- (3) Each Warrant is exercisable for 1 share of the issuer's common stock for a period of five years following that date that shareholder approval is obtained, which approval was obtained on June 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.