

Adkins Rodney C
Form 4
February 07, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Adkins Rodney C

2. Issuer Name and Ticker or Trading Symbol
INTERNATIONAL BUSINESS MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

IBM CORPORATION, 294 ROUTE 100

02/06/2013

Senior Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SOMERS, NY 10589

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(D)	Price		
Common Stock	02/06/2013		S		5,829	D	\$ 200.25 7,967.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013		S		468	D	\$ 200.26 7,499.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013		S		1,035	D	\$ 200.27 6,464.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013		S		302	D	\$ 200.28 6,162.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013		S		465	D	\$ 200.29 5,697.7723	I ⁽¹⁾	trust

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Common Stock	02/06/2013	S	200	D	\$ 200.3	5,497.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	1,065	D	\$ 200.31	4,432.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	600	D	\$ 200.32	3,832.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	200	D	\$ 200.33	3,632.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	829	D	\$ 200.34	2,803.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	100	D	\$ 200.35	2,703.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	100	D	\$ 200.36	2,603.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	1,500	D	\$ 200.39	1,103.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	303	D	\$ 200.4	800.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	100	D	\$ 200.425	700.7723	I ⁽¹⁾	trust
Common Stock	02/06/2013	S	700	D	\$ 200.43	0.7723	I ⁽¹⁾	trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Phantom Stock Unit	\$ 0 <u>(2)</u>	02/06/2013	A ⁽³⁾	56	<u>(4)</u>	<u>(4)</u>	Common Stock	56	\$ 0
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Adkins Rodney C IBM CORPORATION 294 ROUTE 100 SOMERS, NY 10589			Senior Vice President	

Signatures

D. Cummins on behalf of R. C. Adkins	02/07/2013
<u> </u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (3) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (4) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.