

RODRIGUEZ DEBORAH

Form 3

April 10, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â RODRIGUEZ DEBORAH

(Last) (First) (Middle)

100 BAYVIEW CIRCLE,  
SUITE 400

(Street)

NEWPORT  
BEACH,Â CAAÂ 92692

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

09/11/2012

3. Issuer Name and Ticker or Trading Symbol

Alliance HealthCare Services, Inc [AIQ]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

EVP, Human Resources

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

2,000 <sup>(1)</sup>

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: RODRIGUEZ DEBORAH - Form 3

|                       | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |            | or Indirect<br>(I)<br>(Instr. 5) |   |
|-----------------------|---------------------|--------------------|-----------------|----------------------------------|------------|----------------------------------|---|
| Employee Stock Option | Â (2)               | 01/03/2022         | Common<br>Stock | 9,000 (1)                        | \$ 6.2 (1) | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                | Relationships |           |                        |       |
|-------------------------------------------------------------------------------|---------------|-----------|------------------------|-------|
|                                                                               | Director      | 10% Owner | Officer                | Other |
| RODRIGUEZ DEBORAH<br>100 BAYVIEW CIRCLE, SUITE 400<br>NEWPORT BEACH, CA 92692 | Â             | Â         | Â EVP, Human Resources | Â     |

## Signatures

Leigh Ann Clifford, with Power of  
Attorney 04/10/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The number of shares and, as applicable, exercise price gives effect to Registrant's board approved 1-for-5 reverse stock split which became effective as of the close of trading on December 26, 2012
- (2) Options shall become exercisable with respect to 33.33% of the shares of Common Stock subject to such Option on January 3, 2013; January 3, 2014 and January 3, 2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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