

BARRIS PETER J
Form 4
April 11, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARRIS PETER J

2. Issuer Name and Ticker or Trading Symbol
Workday, Inc. [WDAY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1954 GREENSPRING
DRIVE, SUITE 600

3. Date of Earliest Transaction
(Month/Day/Year)
04/09/2013

____ Director
____ Officer (give title below)
__X__ 10% Owner
____ Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

TIMONIUM, MD 21093

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/09/2013		C	V Amount (A) or (D) Price	2,321,212 A \$ 2,321,212	I	See Note 2 (2)
Class A Common Stock	04/09/2013		J(3)	V Amount (A) or (D) Price	2,321,212 D \$ 0 0	I	See Note 2 (2)
Class A Common Stock	04/09/2013		J(4)	V Amount (A) or (D) Price	23,212 A \$ 0 23,212	I	See Note 5 (5)
Class A Common Stock	04/09/2013		J(6)	V Amount (A) or (D) Price	23,212 D \$ 0 0	I	See Note 5 (5)

Edgar Filing: BARRIS PETER J - Form 4

Stock									
Class A Common Stock	04/10/2013	C	2,321,212	A	<u>(7)</u>	2,321,212	I	See Note 8 <u>(8)</u>	
Class A Common Stock	04/10/2013	J ⁽⁹⁾	2,321,212	D	\$ 0	0	I	See Note 8 <u>(8)</u>	
Class A Common Stock	04/10/2013	J ⁽¹⁰⁾	23,212	A	\$ 0	23,212	I	See Note 11 <u>(11)</u>	
Class A Common Stock	04/10/2013	J ⁽¹²⁾	23,212	D	\$ 0	0	I	See Note 11 <u>(11)</u>	
Class A Common Stock	04/09/2013	J ⁽¹³⁾	673	A	\$ 0	673	I	See Note 14 <u>(14)</u>	
Class A Common Stock	04/10/2013	J ⁽¹⁵⁾	1,031	A	\$ 0	1,704	I	See Note 14 <u>(14)</u>	
Class A Common Stock	04/09/2013	J ⁽¹⁶⁾	673	A	\$ 0	673	I	See Note 17 <u>(17)</u>	
Class A Common Stock	04/09/2013	J ⁽¹⁸⁾	5,052	A	\$ 0	5,052	I	See Note 19 <u>(19)</u>	
Class A Common Stock	04/10/2013	J ⁽²⁰⁾	5,344	A	\$ 0	10,396	I	See Note 19 <u>(19)</u>	
Class A Common Stock	04/10/2013	J ⁽²¹⁾	316	A	\$ 0	316	I	See Note 22 <u>(22)</u>	
Class A Common Stock	04/10/2013	J ⁽²³⁾	117	A	\$ 0	117	I	See Note 24 <u>(24)</u>	
Class A Common Stock	04/10/2013	J ⁽²⁵⁾	117	A	\$ 0	117	I	See Note 26 <u>(26)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(27)</u> <u>(28)</u>	04/09/2013		C		2,321,212	<u>(27)</u> <u>(28)</u>	<u>(27)</u> <u>(28)</u>	Class A Common Stock <u>(28)</u>	2,321,212
Class B Common Stock	<u>(27)</u> <u>(28)</u>	04/10/2013		C		2,321,212	<u>(27)</u> <u>(28)</u>	<u>(27)</u> <u>(28)</u>	Class A Common Stock <u>(28)</u>	2,321,212

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARRIS PETER J 1954 GREENSPRING DRIVE SUITE 600 TIMONIUM, MD 21093			X	

Signatures

/s/ Louis Citron,
attorney-in-fact

04/11/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) New Enterprise Associates 13, L.P. ("NEA 13") converted 2,321,212 shares of Class B Common Stock at its option, pursuant to the Issuer's Restated Certificate of Incorporation, resulting in its acquisition of 2,321,212 shares of Class A Common Stock.
- The securities are directly held by NEA 13 and are indirectly held by NEA Partners 13, L.P. ("NEA Partners 13"), the sole general partner of NEA 13, NEA 13 GP, LTD ("NEA 13 LTD"), the sole general partner of NEA Partners 13 and each of the individual directors of NEA 13 LTD (NEA Partners 13, NEA 13 LTD and the individual directors of NEA 13 LTD (collectively, the "Directors") together, the "Indirect Reporting Persons"). The Directors of NEA 13 LTD are M. James Barrett, Peter J. Barris, Forest Baskett, Ryan D. Drant, Patrick J. Kerins, Krishna "Kittu" Kolluri, David M. Mott, Scott D. Sandell, Ravi Viswanathan and Harry R. Weller. The Indirect Reporting Persons disclaim beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by NEA 13 in which the Indirect Reporting Persons have no pecuniary interest.
- (2) NEA 13 made a pro rata distribution for no consideration of an aggregate of 2,321,212 shares of Class A Common Stock of the Issuer to its general partner and its limited partners on April 9, 2013.
- (3) NEA Partners 13 received 23,212 shares of Class A Common Stock of the Issuer in the distribution by NEA 13 on April 9, 2013.
- (4) NEA Partners 13 received 23,212 shares of Class A Common Stock of the Issuer in the distribution by NEA 13 on April 9, 2013.

Edgar Filing: BARRIS PETER J - Form 4

- (5) The Reporting Person is a director of NEA 13 LTD, the sole general partner of NEA Partners 13. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by NEA Partners 13 in which the Reporting Person has no pecuniary interest.
- (6) NEA Partners 13 made a pro rata distribution for no consideration of an aggregate of 23,212 shares of Class A Common Stock of the Issuer to its limited partners on April 9, 2013.
- (7) New Enterprise Associates 12, L.P. ("NEA 12") converted 2,321,212 shares of Class B Common Stock at its option, pursuant to the Issuer's Restated Certificate of Incorporation, resulting in its acquisition of 2,321,212 shares of Class A Common Stock.
- The securities are directly held by NEA 12 and indirectly held by NEA Partners 12, Limited Partnership ("NEA Partners 12"), the sole general partner of NEA 12, NEA 12 GP, LLC ("NEA 12 GP"), the sole general partner of NEA Partners 12, and the individual managers of NEA 12 GP (NEA Partners 12, NEA 12 GP and the individual managers of NEA 12 GP together, the "Indirect Reporting Persons").
- (8) The individual managers of NEA 12 GP are M. James Barrett, Peter J. Barris, Forest Baskett, Ryan D. Drant, Patrick J. Kerins, Krishna "Kittu" Kolluri and Scott D. Sandell. The Indirect Reporting Persons disclaim beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the issuer held by NEA 12 in which the Indirect Reporting Persons have no pecuniary interest.
- (9) NEA 12 made a pro rata distribution for no consideration of an aggregate of 2,321,212 shares of Class A Common Stock of the Issuer to its general partner and its limited partners on April 10, 2013.
- (10) NEA Partners 12 received 23,212 shares of Class A Common Stock of the Issuer in the distribution by NEA 12 on April 10, 2013.
- The Reporting Person is a manager of NEA 12 GP, the sole general partner of NEA Partners 12. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by NEA Partners 12 in which the Reporting Person has no pecuniary interest.
- (11) NEA Partners 12 made a pro rata distribution for no consideration of an aggregate of 23,212 shares of Class A Common Stock of the Issuer to its limited partners on April 10, 2013.
- (12) PJ Barris, LLC received 673 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 13 on April 9, 2013.
- The Reporting Person is a member of PJ Barris, LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of 1934 Act, as amended, or otherwise of the securities held by PJ Barris, LLC, except to the extent of his pecuniary interest therein.
- (13) PJ Barris, LLC received 1,031 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on April 10, 2013.
- (14) PDB II LLC received 673 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 13 on April 9, 2013.
- The Reporting Person is the investment advisor of PDB II LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the 1934 Act, as amended, or otherwise of the securities held by PDB II LLC, except to the extent of his pecuniary interest therein.
- (15) New Enterprise Associates, LLC ("NEA LLC") received 5,052 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 13 on April 9, 2013.
- The Reporting Person is a member of the Board of Directors of NEA LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the 1934 Act, as amended, or otherwise of the securities held by NEA LLC, except to the extent of his pecuniary interest therein.
- (16) NEA LLC received 5,344 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on April 10, 2013.
- (17) PDB LLC received 316 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on April 10, 2013.
- The Reporting Person is the investment advisor of PDB LLC, which is the direct beneficial owner of the securities. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the 1934 Act, as amended, or otherwise of the securities held by PDB LLC, except to the extent of his pecuniary interest therein.
- (18) Bessemer Trust Company, N.A. and William Chagares, as Trustees of The Pamela Ann Barris Irrevocable Nonexempt Trust U/A 12-28-1998 (the "Pamela Barris Trust") received 117 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on April 10, 2013.
- The securities are directly held by the Pamela Barris Trust. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by the Pamela Barris Trust in which the Reporting Person has no pecuniary interest.
- (19) Bessemer Trust Company, N.A. and William Chagares, as Trustees of The Diana Christine Barris Irrevocable Nonexempt Trust U/A 12-28-1998 (the "Diana Barris Trust") received 117 shares of Class A Common Stock of the Issuer in the distribution by NEA Partners 12 on April 10, 2013.
- (20)
- (21)
- (22)
- (23)
- (24)

Edgar Filing: BARRIS PETER J - Form 4

(26) The securities are directly held by the Diana Barris Trust. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the securities of the Issuer held by the Diana Barris Trust in which the Reporting Person has no pecuniary interest.

(27) Each share of Class B Common Stock is convertible, at any time at the option of the holder, into one (1) share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one (1) share of Class A Common Stock upon any transfer, whether or not for value, except for certain permitted transfers described in, and transfers to any "permitted transferee" as defined in, the Issuer's restated certificate of incorporation in effect as of the date hereof. The shares of Class B Common Stock have no expiration date.

(28) All shares of Class A and Class B Common Stock will convert automatically into shares of a single class of Common Stock upon the earliest to occur of the following: (a) upon the election by the holders of a majority of the then outstanding shares of Class B Common Stock, (b) the date when the number of outstanding shares of Class B Common Stock represents less than 9% of all outstanding shares of Class A and Class B Common Stock, (c) October 11, 2032 or (d) nine months after the death of the later to die of David A. Duffield and Aneel Bhusri. The shares of Class A and Class B Common Stock have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.