

MACROGENICS INC

Form 3

October 09, 2013

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Stein Kathryn E

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/09/2013

3. Issuer Name **and** Ticker or Trading Symbol
MACROGENICS INC [MGNX]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Senior V P, Product Dev & Reg.

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting PersonC/O MACROGENICS,
INC.,Â 9640 MEDICAL
CENTER DRIVE

(Street)

ROCKVILLE,Â MDÂ 20850

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

43,205 ⁽¹⁾

D

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Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	09/24/2005 ⁽²⁾	03/23/2016	Common Stock	52,192 ⁽¹⁾	\$ 0.7	D	Â
Stock Option (right to buy)	06/15/2006 ⁽²⁾	12/14/2015	Common Stock	10,653 ⁽¹⁾	\$ 0.7	D	Â
Stock Option (right to buy)	07/07/2007 ⁽²⁾	01/06/2017	Common Stock	25,301 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/06/2008 ⁽²⁾	01/05/2018	Common Stock	13,316 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009 ⁽²⁾	01/10/2019	Common Stock	8,895 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/11/2009 ⁽²⁾	01/10/2019	Common Stock	4,421 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/10/2010 ⁽²⁾	01/09/2020	Common Stock	6,658 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/09/2011 ⁽²⁾	01/08/2021	Common Stock	10,653 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	09/14/2012 ⁽²⁾	03/13/2022	Common Stock	10,653 ⁽¹⁾	\$ 0.94	D	Â
Stock Option (right to buy)	07/06/2013 ⁽²⁾	01/05/2023	Common Stock	7,990 ⁽¹⁾	\$ 1.5	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Stein Kathryn E C/O MACROGENICS, INC. 9640 MEDICAL CENTER DRIVE ROCKVILLE, MD 20850	Â	Â	Â Senior V P, Product Dev & Reg.		Â

Signatures

/s/ Lynn Cilinski,
attorney-in-fact

10/09/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects a 1-for-18.7739 reverse split of our common stock effected on September 26, 2013.

(2)

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12.5% of the shares underlying the option are exercisable beginning on the six month anniversary of the vesting start date, and an additional 6.25% of the shares underlying the option become exercisable on the first day of each three month anniversary thereafter.

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Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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