

FISERV INC
Form 4
December 05, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Gianoni Michael P

(Last) (First) (Middle)
10 EXCHANGE PLACE
(Street)

JERSEY CITY, NJ 07302

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction
(Month/Day/Year)
12/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Group President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	12/03/2013		M	6,918 A \$ 54.21	23,173	D	
Common Stock	12/03/2013		S	6,918 D \$ 110.05 (1)	16,255	D	
Common Stock	12/03/2013		M	2,418 A \$ 32.74	18,673	D	
Common Stock	12/03/2013		S	2,418 D \$ 110.05 (2)	16,255	D	
	12/03/2013		M	8,332 A \$ 47.69	24,587	D	

Edgar Filing: FISERV INC - Form 4

Common Stock								
Common Stock	12/03/2013	S	8,332	D	\$ <u>110.04</u> (3)	16,255		D
Common Stock	12/03/2013	M	8,778	A	\$ 61.71	25,033		D
Common Stock	12/03/2013	S	1,180	D	\$ <u>110.04</u> (4)	23,853		D
Common Stock	12/03/2013	M	3,868	A	\$ 65.27	27,721		D
Common Stock	12/03/2013	S	3,868	D	\$ <u>110.05</u> (5)	23,853		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 54.21	12/03/2013		M	6,918 <u>(6)</u>	02/27/2009 02/27/2018	Common Stock	6,918
Stock Option (right to buy)	\$ 32.74	12/03/2013		M	2,418 <u>(7)</u>	02/26/2010 02/26/2019	Common Stock	2,418
	\$ 47.69	12/03/2013		M		02/24/2011 02/24/2020		8,332

Stock Option (right to buy)					8,332 <u>(8)</u>			Common Stock	
Stock Option (right to buy)	\$ 61.71	12/03/2013		M	8,778 <u>(9)</u>	02/23/2012	02/23/2021	Common Stock	8,778
Stock Option (right to buy)	\$ 65.27	12/03/2013		M	3,868 <u>(10)</u>	02/22/2013	02/22/2022	Common Stock	3,868

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gianoni Michael P 10 EXCHANGE PLACE JERSEY CITY, NJ 07302			EVP, Group President	

Signatures

/s/ Thomas J. Hirsch
(attorney-in-fact)

12/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades at prices ranging from \$109.97 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (2) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (3) This transaction was executed in multiple trades at prices ranging from \$109.97 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (4) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.11. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (5) This transaction was executed in multiple trades at prices ranging from \$109.98 to \$110.13. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
 - (6) The option vested in 3 equal annual installments beginning February 27, 2009 and is due to expire February 27, 2018.
 - (7) The option vested in 3 equal annual installments beginning February 26, 2010 and is due to expire February 26, 2019.
 - (8) The option vested in 3 equal annual installments beginning February 24, 2011 and is due to expire February 24, 2020.

Edgar Filing: FISERV INC - Form 4

(9) The option vests in 3 equal annual installments beginning February 23, 2012 and is due to expire February 23, 2021.

(10) The option vests in 3 equal annual installments beginning February 22, 2013 and is due to expire February 22, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.