

NETFLIX INC
Form 4
October 21, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BATTLE A GEORGE

(Last) (First) (Middle)
100 WINCHESTER CIRCLE

(Street)

LOS GATOS, CA 95032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NETFLIX INC [NFLX]

3. Date of Earliest Transaction (Month/Day/Year)
10/19/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	10/19/2016		M	750 ⁽¹⁾ A \$ 6.0214	750	D	
Common Stock	10/19/2016		S	750 ⁽¹⁾ D \$ 120	0	D	
Common Stock	10/19/2016		M	3,115 ⁽¹⁾ A \$ 6.4243	3,115	D	
Common Stock	10/19/2016		S	3,115 ⁽¹⁾ D \$ 120	0	D	
Common Stock	10/19/2016		M	3,444 ⁽¹⁾ A \$ 5.8029	3,444	D	
	10/19/2016		S	D \$ 120	0	D	

Edgar Filing: NETFLIX INC - Form 4

Common Stock						3,444 <u>(1)</u>					
Common Stock	10/19/2016		M			3,416 <u>(1)</u>	A	\$ 5.8486	3,416	D	
Common Stock	10/19/2016		S			3,416 <u>(1)</u>	D	\$ 120	0	D	
Common Stock	10/19/2016		M			3,150 <u>(1)</u>	A	\$ 6.3543	3,150	D	
Common Stock	10/19/2016		S			3,150 <u>(1)</u>	D	\$ 120	0	D	
Common Stock	10/19/2016		M			3,262 <u>(1)</u>	A	\$ 6.1243	3,262	D	
Common Stock	10/19/2016		S			3,262 <u>(1)</u>	D	\$ 120	0	D	
Common Stock	10/19/2016		M			2,863 <u>(1)</u>	A	\$ 4.9071	2,863	D	
Common Stock	10/19/2016		S			2,863 <u>(1)</u>	D	\$ 120	0	D	
Common Stock									56,000	I	by Trust <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 4.9071	10/19/2016		M	2,863 <u>(1)</u>	03/02/2009	03/02/2019	Common Stock	2,863

Non-Qualified Stock Option (right to buy)	\$ 5.8029	10/19/2016	M	3,444 (1)	07/01/2009	07/01/2019	Common Stock	3,4
Non-Qualified Stock Option (right to buy)	\$ 5.8486	10/19/2016	M	3,416 (1)	06/01/2009	06/01/2019	Common Stock	3,4
Non-Qualified Stock Option (right to buy)	\$ 6.0214	10/19/2016	M	750 (1)	09/01/2009	09/01/2019	Common Stock	75
Non-Qualified Stock Option (right to buy)	\$ 6.1243	10/19/2016	M	3,262 (1)	04/01/2009	04/01/2019	Common Stock	3,2
Non-Qualified Stock Option (right to buy)	\$ 6.3543	10/19/2016	M	3,150 (1)	05/01/2009	05/01/2019	Common Stock	3,1
Non-Qualified Stock Option (right to buy)	\$ 6.4243	10/19/2016	M	3,115 (1)	08/03/2009	08/03/2019	Common Stock	3,1

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATTLE A GEORGE 100 WINCHESTER CIRCLE LOS GATOS, CA 95032		X		

Signatures

By: Carole Payne, Authorized Signatory For: A. George Battle 10/21/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
- (2) As a Trustee of the A. George Battle 2011 Separate Property Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.