

TRBOVICH NICHOLAS JR
Form 4
January 04, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TRBOVICH NICHOLAS JR

2. Issuer Name and Ticker or Trading Symbol
SERVOTRONICS INC /DE/ [SVT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1110 MAPLE STREET, P.O. BOX 300
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/30/2005

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President

ELMA, NY 14059-0300

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock | | | | (A) or (D) Price | 15,814 ⁽¹⁾ | D | |
| Common Stock | | | | (A) or (D) Price | 73,771.87 ⁽²⁾ | I | By ESOT |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Options (Right to Buy) | \$ 8.5 | | | | | (3) | 03/23/2008 | Common Stock | 12,600 |
| Options (Right to Buy) | \$ 8.5 | | | | | 03/24/1999 | 03/23/2008 | Common Stock | 5,800 |
| Options (Right to Buy) | \$ 3.8125 | | | | | 07/07/2001 | 07/06/2010 | Common Stock | 18,400 |
| Options (Right to Buy) | \$ 4.38 | | | | | 03/06/2002 | 09/05/2011 | Common Stock | 24,000 |
| Options (Right to Buy) | \$ 2.045 | | | | | 10/11/2003 | 04/10/2013 | Common Stock | 27,000 |
| Options (Right to Buy) | \$ 4.7 | 12/30/2005 | | A | 15,000 | 12/30/2005 | 12/29/2015 | Common Stock | 15,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| TRBOVICH NICHOLAS JR 1110 MAPLE STREET, P.O. BOX 300 ELMA, NY 14059-0300 | X | | Vice President | |

Signatures

Nicholas D.
Trbovich, Jr. 01/03/2006

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Does not include the shares reported by the reporting person's father, Dr. Nicholas D. Trbovich, who files Section 16(a) reports under his own name.

These shares include shares held by Dr. Nicholas D. Trbovich, Michael Trbovich and Kenneth Trbovich, respectively father and brothers of the reporting person, that the reporting person is required to report pursuant to Rule 16a-8(b)(2). The reporting person disclaims beneficial interest of the 44,201.008 shares allocated to his father's ESOT account and 7,631.365 shares allocated to his brothers' ESOT accounts.
- (2) This option to purchase 12,600 shares was exercisable for 3,150 shares on September 24, 1998; March 24, 1999 and 2000; and March 23, 2001.
- (3)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.