

Edgar Filing: PFEIFER ANDREW - Form SC 13D

PFEIFER ANDREW
Form SC 13D
December 02, 2002

SCHEDULE 13D
(RULE 13d-101)

Information to be Included in Statements Filed Pursuant to Rule 13d-1(a) and Amendments Thereto Filed Pursuant to Rule 13d-2(a)

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13D

Under the Securities Exchange Act of 1934
(Amendment No.)*

ACCESS SOLUTIONS INTERNATIONAL

(Name of Issuer)

Common Stock

(Title of Class of Securities)

004317103

(CUSIP Number)

Andrew Pfeifer
531 Fairfield Drive
Louisville, KY 40206
502-895-8445

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

November 22, 2001

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box / /.

Note: Schedules filed in paper format shall include a signed original

Edgar Filing: PFEIFER ANDREW - Form SC 13D

and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP NO. 13D PAGE
OF PAGES

1 NAMES OF REPORTING PERSONS/I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Andrew Pfeifer

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (See Instructions)

(a) []

(b) []

3 SEC USE ONLY

4 SOURCE OF FUNDS (See Instructions)

WC

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e) []

6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

7 SOLE VOTING POWER
NUMBER OF SHARES 237,500

Edgar Filing: PFEIFER ANDREW - Form SC 13D

8 SHARED VOTING POWER
BENEFICIALLY OWNED BY EACH REPORTING PERSON
None

9 SOLE DISPOSITIVE POWER
237,500

10 SHARED DISPOSITIVE POWER
None

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
237,500

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES
CERTAIN SHARES (See Instructions)
[]

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
5.99%

14 TYPE OF REPORTING PERSON (See Instructions)
IN

ITEM 1. SECURITY AND ISSUER

The class of equity securities to which this Schedule 13D relates is the common stock, \$0.01 par value (the "Common Stock"), of Access Solutions International Inc. (the "Issuer") a Delaware corporation. The address of the Issuer's executive office is located at 850 Main Street, East Greenwich, Rhode Island 02818.

ITEM 2. IDENTITY AND BACKGROUND

- (a) Andrew Pfeifer
- (b) His address is 531 Fairfield Drive, Louisville, KY 40206.
- (c) Principal occupation is entrepreneur and investor
- (d) During the last five years, Andrew Pfeifer has not been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors).
- (e) During the last five years, Andrew Pfeifer has not been party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgement, decrees or final order enjoining the person from future violations of, or prohibiting or mandating activities subject to federal

Edgar Filing: PFEIFER ANDREW - Form SC 13D

or state securities laws or finding any violation of such laws.

(f) Andrew Pfeifer is a citizen of the United States.

ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION

The source and amount of funds used to purchase the securities was Mr. Pfeifer's personal savings. There was no borrowing involved in the acquisition of his shares.

ITEM 4. PURPOSE OF TRANSACTION

The purpose of the acquisition of securities of the issuer is for investment purposes. Accordingly, there are no plans or proposals which the reporting person has that would result in:

- (a) the acquisition of additional securities of the issuer or disposal of securities of the issuer, however and except, Andrew Pfeifer may decide to acquire additional securities or dispose of some or all of his securities depending upon the securities increase or decrease in the price reflected on the stock market;
- (b) an extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- (c) a sale or transfer of a material amount of assets of the issuer or any of its subsidiaries;
- (d) any change in the present board of directors or management of the issuer;
- (e) any material change in the present capitalization or dividend policy of the issuer;
- (f) any other material change in the issuer's business or corporate structure;
- (g) changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- (h) causing a class of securities of the issuer to be delisted from a national securities exchange or to cease to be authorized to be quoted in an inter-dealer quotation system of a registered national securities association;
- (i) a class of equity securities of the issuer becoming eligible for termination of registration pursuant to Section 12(g)(4) of the Act; or
- (j) any action similar to any of those enumerated above.

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER

- (a) The aggregate number and percentage of the class of

Edgar Filing: PFEIFER ANDREW - Form SC 13D

securities identified pursuant to Item 1 is 237,500 shares consisting of approximately 5.99% of the 3,963,940 securities outstanding.

(b) Andrew Pfeifer has 237,500 shares which he has sole power to vote or direct the vote, no shares which he has shared power to vote or direct the vote, has 237,500 shares which he has sole power to dispose or direct the disposition, and no shares which he has shared power to dispose or direct the disposition.

(c) The description of any transactions in the class of securities reported on that were effected during the past 60 days or since the most recent filing on Schedule 13D, whichever is less by Andrew Pfeifer, the reporting person is attached as Exhibit A (trading purchases and sales of the issuer during the past 60 days including date, amount of securities involved, price per share and how and where the transaction was effected).

(d) No other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities.

(e) N/A [the date the reporting person cease to be the beneficial owner of more than five percent of the class of securities].

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER.

There are no contracts, arrangements, understandings (legal or otherwise) among the person named in Item 2 and between such persons and any person with respect to any securities of the issuer.

ITEM 7. MATERIAL TO BE FILED AS EXHIBITS.

Exhibit A - (trading purchases and sales of the issuer during the past 60 days including date, amount of securities involved, price per share and how and where the transaction was effected)

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: November 29, 2002

Edgar Filing: PFEIFER ANDREW - Form SC 13D

/s/ Andrew Pfeifer

 Andrew Pfeifer

EXHIBIT A
 SCHEDULE 13D
 Andrew Pfeifer

Date of Event that Requires filing: November 22, 2002

| Where and How Transaction was Affected | Date of Transaction | Number of Shares Purchased | Price per Share |
|--|------------------------|----------------------------------|--------------------|
| | 9/23/2002 | 5000 | \$0.265 market |
| | 9/23/2002 | 5000 | \$0.264 market |
| | 9/23/2002 | 5000 | \$0.264 market |
| | 9/23/2002 | 5000 | \$0.252 market |
| | 9/23/2002 | 5000 | \$0.252 market |
| | 9/24/2002 | 5000 | \$0.255 market |
| | 9/24/2002 | 5000 | \$0.254 market |
| | 9/30/2002 | 5000 | \$0.265 market |
| | 9/30/2002 | 5000 | \$0.264 market |
| | 10/1/2002 | 5000 | \$0.265 market |
| | 10/3/2002 | 5000 | \$0.260 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.247 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/3/2002 | 5000 | \$0.252 market |
| | 10/7/2002 | 5000 | \$0.259 market |
| | 10/7/2002 | 5000 | \$0.260 market |

Edgar Filing: PFEIFER ANDREW - Form SC 13D

| | | | |
|------------|--------|---------|--------|
| 2 | | | |
| 10/7/2002 | 5000 | \$0.259 | market |
| 10/11/2002 | 5000 | \$0.257 | market |
| 10/14/2002 | 5000 | \$0.264 | market |
| 10/14/2002 | 5000 | \$0.265 | market |
| 10/14/2002 | 5000 | \$0.242 | market |
| 10/14/2002 | 5000 | \$0.252 | market |
| 10/14/2002 | 5000 | \$0.252 | market |
| 10/14/2002 | 5000 | \$0.237 | market |
| 10/15/2002 | 5000 | \$0.255 | market |
| 10/16/2002 | 10000 | \$0.262 | market |
| 10/16/2002 | 5000 | \$0.255 | market |
| 10/17/2002 | 5000 | \$0.252 | market |
| 10/24/2002 | 5000 | \$0.242 | market |
| 10/28/2002 | 5000 | \$0.242 | market |
| 10/29/2002 | 5000 | \$0.237 | market |
| 11/21/2002 | 5000 | \$0.255 | market |
| 11/21/2002 | 2500 | \$0.244 | market |
| 11/22/2002 | 40000 | \$0.242 | market |
| | 237500 | | |