

CAPITAL SENIOR LIVING CORP  
Form 4  
November 09, 2006

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HARTBERG CRAIG F

2. Issuer Name and Ticker or Trading Symbol  
CAPITAL SENIOR LIVING CORP [CSU]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
14160 DALLAS PARKWAY, SUITE 300  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/08/2006

Director  10% Owner  
 Officer (give title below)  Other (specify below)

DALLAS, TX 75254

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
				Code	V	Amount				(A) or (D)
Common Stock	11/08/2006		M			3,000	A	\$ 3.7	4,500	D
Common Stock	11/08/2006		S <sup>(1)</sup>			3,000	D	\$ 9.56	1,500	D
Common Stock	11/08/2006		M			3,000	A	\$ 4.85	4,500	D
Common Stock	11/08/2006		S <sup>(1)</sup>			3,000	D	\$ 9.56	1,500	D
Common Stock	11/08/2006		M			3,000	A	\$ 5.9	4,500	D

Edgar Filing: CAPITAL SENIOR LIVING CORP - Form 4

Common Stock      11/08/2006      S<sup>(1)</sup>      3,000      D      \$ 9.56      1,500      D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)
Common Stock Option (right to purchase)	\$ 3.7	11/08/2006		M	3,000	(2)	(3)	Common Stock	3,000
Common Stock Option (right to purchase)	\$ 4.85	11/08/2006		M	3,000	(5)	(6)	Common Stock	3,000
Common Stock Option (right to purchase)	\$ 5.9	11/08/2006		M	3,000	(7)	(8)	Common Stock	3,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARTBERG CRAIG F 14160 DALLAS PARKWAY SUITE 300	X			

DALLAS, TX 75254

## Signatures

/s/ Craig F.  
Hartberg

11/09/2006

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold pursuant to Rule 10b5-1 trading plan adopted by the reporting person on June 19, 2006.
- (2) The options vested on May 22, 2003.
- (3) The options expire on May 18, 2012.
- (4) Granted pursuant to the Capital Senior Living Corporation 1997 Omnibus Stock and Incentive Plan.
- (5) The options vested on May 10, 2005.
- (6) The options expire on May 19, 2014.
- (7) The options vested on May 9, 2006.
- (8) The options expire on May 10, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.