

Burkhardt Roger
Form 4
November 09, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Burkhardt Roger

2. Issuer Name and Ticker or Trading Symbol
MARKETAXESS HOLDINGS INC
[MKTX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
11/07/2011

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O MARKETAXESS HOLDINGS INC., 299 PARK AVENUE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10171

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock, par value \$0.003 per share	11/07/2011		M		2,500 A \$ 16.99	23,196	D
Common Stock, par value \$0.003 per share	11/07/2011		M		1,500 A \$ 9.72	24,696	D
	11/07/2011		S ⁽¹⁾		4,000 D \$ 28.5	20,696	D

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Common
Stock, par
value
\$0.003 per
share

Common
Stock, par
value
\$0.003 per
share

Common
Stock, par
value
\$0.003 per
share

Common
Stock, par
value
\$0.003 per
share

Common
Stock, par
value
\$0.003 per
share

11/08/2011

M

2,725 A

\$ 9.72 23,421

D

11/08/2011

M

3,187 A

\$ 10.77 26,608

D

11/08/2011

S⁽¹⁾

5,912 D

\$ 29.56 20,696
(2)

D

4,000

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	\$ 16.99	11/07/2011		M	2,500	<u>(3)</u>	08/03/2017		2,500

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Employee Stock Option (right to buy)	Exercise Price	Grant Date	Exercise Type	Quantity	Notes	Expiration Date	Common Stock	Quantity
Non-Qualified Stock Option (right to buy)	\$ 9.72	11/07/2011	M	1,500	(4)	08/01/2018	Common Stock	1,500
Non-Qualified Stock Option (right to buy)	\$ 9.72	11/08/2011	M	2,725	(4)	08/01/2018	Common Stock	2,725
Employee Stock Option (right to buy)	\$ 10.77	11/08/2011	M	3,187	(5)	07/30/2019	Common Stock	3,187

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Burkhardt Roger C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171	X			

Signatures

/s/ Ori Solomon, as Attorney-in-Fact for Roger Burkhardt
 11/09/2011
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of the proceeds of the sale of these shares was used to satisfy the exercise price.
 These transactions were executed in multiple trades at prices ranging from \$29.50 to \$29.67. The price reported above reflects the weighted average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (3) The option was granted on August 3, 2007. The option vested and became exercisable in two equal installments on November 30, 2007 and May 31, 2008, respectively.
- (4) The option was granted on August 1, 2008. The option vested and became exercisable in two equal installments on November 30, 2008 and May 31, 2009, respectively.
- (5) The option was granted on July 30, 2009. The option vested and became exercisable in two equal installments on November 30, 2009 and May 31, 2010, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.