

PELTZ NELSON
Form 3
August 15, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement		3. Issuer Name and Ticker or Trading Symbol	
Â PELTZ NELSON			(Month/Day/Year)		Ingersoll-Rand plc [IR]	
(Last)	(First)	(Middle)	08/10/2012		4. Relationship of Reporting Person(s) to Issuer	
280 PARK AVENUE,Â 41ST FLOOR					5. If Amendment, Date Original Filed(Month/Day/Year)	
(Street)					(Check all applicable)	
NEW YORK,Â NYÂ 10017					<input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)	
(City)	(State)	(Zip)			6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Ordinary Shares	13,470,668	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: PELTZ NELSON - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	214,655	\$ 37.6665	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	184,938	\$ 38.2492	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	214,655	\$ 38.6613	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,085	\$ 38.6791	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	90,558	\$ 39.0463	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,085	\$ 39.3165	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	206,259	\$ 39.3449	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	7,056	\$ 39.4703	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,793	\$ 39.8376	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	157,140	\$ 39.8661	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,085	\$ 40.0232	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	246,822	\$ 40.0482	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,085	\$ 40.071	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary	128,085	\$ 40.115	I (1) (2)	Please see

Edgar Filing: PELTZ NELSON - Form 3

(right and obligation to buy)			Shares					explanation below <u>(1)</u> <u>(2)</u>	
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	128,793	\$ 40.1819	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	401,132	\$ 40.2622	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	128,085	\$ 40.305	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	344,672	\$ 40.3183	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	191,817	\$ 40.3964	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	301,215	\$ 40.4411	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	108,430	\$ 40.4945	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	310,166	\$ 40.6094	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	274,653	\$ 40.7949	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	128,085	\$ 40.7978	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	432,820	\$ 40.8345	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	297,509	\$ 40.841	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	128,085	\$ 40.8666	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>
Put-Call Option (right and obligation to buy)	Â	<u>(3)(4)(5)</u>	06/01/2014	<u>(3)(4)(5)</u>	Ordinary Shares	128,085	\$ 40.8707	I <u>(1)</u> <u>(2)</u>	Please see explanation below <u>(1)</u> <u>(2)</u>

Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	128,085	\$ 40.9063	I (1) (2)	Please see explanation below (1) (2)
Put-Call Option (right and obligation to buy)	Â (3)(4)(5)	06/01/2014(3)(4)(5)	Ordinary Shares	280,258	\$ 41.1372	I (1) (2)	Please see explanation below (1) (2)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PELTZ NELSON 280 PARK AVENUE 41ST FLOOR NEW YORK, NY 10017	Â X	Â	Â	Â
Triam Fund Management, L.P. 280 PARK AVENUE NEW YORK, NY 10017	Â X	Â	Â	less than 10% owner

Signatures

Stacey L. Sayetta, Attorney-in-Fact for Nelson Peltz 08/15/2012
**Signature of Reporting Person Date

By: Stacey L. Sayetta, Attorney-in-Fact for Peter W. May, member of the general partner of
 Triam Fund Management, L.P. 08/15/2012
**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Triam Fund Management, L.P. ("Triam Management") serves as the management company for Triam Partners, L.P. ("Triam Onshore"), Triam Partners Master Fund, L.P. ("Triam Offshore"), Triam Partners Parallel Fund I, L.P. ("Parallel Fund I"), Triam Partners Master Fund (ERISA), L.P., ("Triam ERISA"), Triam Partners Strategic Investment Fund, L.P. ("TPSIF"), Triam Partners Strategic Investment Fund-A, L.P. ("Strategic Fund-A"), Triam Partners Strategic Co-Investment Fund-A, L.P. ("Coinvest Fund-A"), Triam IR Holdco Ltd. ("IR Holdco"), Triam SPV (SUB) VI, L.P. ("SPV VI") and Triam SPV (SUB) VI-A, L.P. ("SPV VI-A" and collectively, the "Triam Entities") and as such determines the investment and voting decisions of the Triam Entities with respect to the shares of the Issuer held by them.
- (FN 2, contd.) Mr. Peltz is a member of Triam Fund Management GP, LLC, which is the general partner of Triam Management, and therefore is in a position to determine the investment and voting decisions made by Triam Management on behalf of the Triam Entities. Accordingly, Mr. Peltz may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934) the shares beneficially owned by the Triam Entities. The Reporting Persons disclaim beneficial ownership of such shares except to the extent of their respective pecuniary interests therein and this report shall not be deemed an admission that the Reporting Persons are the beneficial owner of such securities for purposes of Section 16 or for any other purpose. Mr. Peltz is a director of the Issuer.
- (3) Each of Triam Onshore, Triam Offshore and TPSIF (collectively, the "Triam Option Holders") have entered into a series of privately negotiated back-to-back call and put transactions (the "Options") with two counterparties ("Counterparty 1" and "Counterparty 2") through which they acquired an economic interest in the indicated shares. In these transactions, simultaneously with the purchase of each call option from a counterparty, the Triam Option Holders also sold a put option to such counterparty for the same number of shares.
- (4) (FN 3, contd.) Pursuant to the put option, if on the expiration date of the Options (which expiration date may be extended by Counterparty 1 or Counterparty 2, as applicable at its option, for 2 years or 18 months respectively, the "Expiration Date"), the exercise price per share of the call option were greater than the closing price of the shares on the Expiration Date (the "Closing Price"), then the

Edgar Filing: PELTZ NELSON - Form 3

counterparty would be entitled to cause the applicable Trian Option Holders, at their election, to either (i) pay the counterparty an amount in cash equal to the product of (a) the excess of the exercise price per share pursuant to such option (the "Exercise Price") over the Closing Price and (b) the number of shares set forth above or (ii) acquire from the counterparty the number of shares set forth above at the Exercise Price.

- (5) (FN 4, contd.) The call options are exercisable into shares of common stock of the Issuer at any time, in whole or in part, prior to their expiration date. As part of these transactions, the Trian Option Holders pay each counterparty a financing fee based on the number of days that the Options that it holds are outstanding, which fee is calculated using a monthly rate equal to one month LIBOR plus an applicable spread.

Â

Remarks:

Due to SEC limitations of 30 lines per table this is Form 1 of 2 for the reporting persons for

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.