BioAmber Inc. Form 3/A February 14, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Ashworth Andrew P.

(Last)

(First)

(Middle)

C/O BIOAMBER INC., 3850 ANNAPOLIS LANE NORTH,

**SUITE 180** 

(Street)

Statement

(Month/Day/Year)

09/12/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BioAmber Inc. [BIOA]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

05/10/2013

(Check all applicable)

Director \_X\_\_ Officer

10% Owner Other

(give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PLYMOUTH. MNÂ 55447

(City) (State)

(Instr. 4)

1. Title of Security

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

Exercisable

(Month/Day/Year)

Expiration

Date

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Title

Amount or Number of Derivative Security

Security: Direct (D)

1

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Shares or Indirect
(I)
(Instr. 5)

Stock Option (right to buy)  $\stackrel{\text{(1)}}{\underline{\text{(1)}}}$   $\hat{A}$   $\stackrel{\text{(2)}}{\underline{\text{(2)}}}$  08/25/2021  $\stackrel{\text{Common}}{\text{Stock}}$  56,000 \$ 10.547  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Ashworth Andrew P.

C/O BIOAMBER INC.
3850 ANNAPOLIS LANE NORTH, SUITE 180
PLYMOUTH, MNÂ 55447

Relationships

Other

## **Signatures**

/s/ Jean-Francois Huc, attorney-in-fact for Andrew P.
Ashworth

02/14/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 3/A amends the Form 3 filed on May 10, 2013, which inadvertently reported the incorrect vesting schedule from the employee stock option grant
- (2) 25% on Sept 12, 2012; 25% on Sept 12, 2013; 25% on Sept 12, 2014; and 25% on Sept 12, 2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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