BioAmber Inc. Form 4/A February 14, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

Number: 3235-0287

January 31,

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person <u>*</u> Hartmann Michael A.

(First)

(Street)

(State)

2. Issuer Name **and** Ticker or Trading Symbol

Issuer

188u

(Middle)

(Zip)

BioAmber Inc. [BIOA]
3. Date of Earliest Transaction

_____ Director _____ 10% Owner _____ Nfficer (give title _____ Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Month/Day/Year) 05/14/2013

C/O BIOAMBER INC., 1250 RENE 05/14/201

below) below)

Executive Vice President

LEVESQUE WEST, SUITE 4110

4. If Amendment, Date Original

P

Filed(Month/Day/Year) 05/16/2013

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MONTREAL, A8 H3B4W8

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if any (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Form: Di Beneficially (D) or Owned Indirect (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Transaction(s)
(Instr. 3 and 4)

Code V Amount (D) Price

Common 05/14/2013

10,000 A <u>П</u> 16,720

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants (right to buy) (2)	(1)	05/14/2013		P	10,000	08/08/2013	05/09/2017	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hartmann Michael A. C/O BIOAMBER INC. 1250 RENE LEVESQUE WEST, SUITE 4110 MONTREAL, A8 H3B4W8

Executive Vice President

Signatures

/s/ Jean-Francois Huc, attorney-in-fact for Michael A. Hartmann

02/14/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported securities are included within 10,000 Units purchased by the reporting person on May 14, 2013 for \$10.00 per Unit in

connection with the Issuer's initial public offering. Each Unit consists of one share of common stock and one warrant to purchase half of

- one share of common stock. The Units currently trade on the NYSE. The common stock and warrants comprising the Units will begin trading separately on June 10, 2013, at which time trading of the Units will be suspended and the Units will be de-listed. Each warrant will be exercisable during the period commencing on August 8, 2013 and ending at 5:30 p.m. on May 9, 2017 at an exercise price of \$11.00 per whole share of common stock
- (2) This Form 4/A amends the Form 4 filed on May 16, 2013, which inadvertently reported Common Stock Warrants (right to buy) under Disposed Derivative Securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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