UNIVERSAL ELECTRONICS INC

Form 4

February 26, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

response...

Estimated average burden hours per

0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Kopaskie Mark S

Symbol UNIVERSAL ELECTRONICS INC

(Check all applicable)

EVP

[UEIC]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner

(Month/Day/Year)

02/25/2014

X_ Officer (give title below) below)

Other (specify

201 E. SANDPOINTE **AVENUE, SUITE 800**

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

SANTA ANA, CA 92707-6708

(Street)

| (City) | (State) | (Zip) Tak | ole I - Non- | Derivative | Secu | rities Acquir | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|--|--|-------|----------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit ord Dispos (Instr. 3, | ed of | ` ′ | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 02/25/2014 | 02/25/2014 | M | 1,467 | A | \$ 24.91 | 12,443 | D | |
| Common Stock | 02/25/2014 | 02/25/2014 | S | 1,467 | D | \$ 41.1979 (1) | 10,976 | D | |
| Common Stock | 02/25/2014 | 02/25/2014 | M | 1,200 | A | \$ 29.25 | 12,176 | D | |
| Common Stock | 02/25/2014 | 02/25/2014 | S | 1,200 | D | \$ 41.1979 (1) | 10,976 | D | |

Edgar Filing: UNIVERSAL ELECTRONICS INC - Form 4

| Common Stock | 02/25/2014 | 02/25/2014 | M | 15,866 | A | \$ 20.085 | 26,842 | D |
|-----------------|------------|------------|---|--------|---|-----------------------------|--------|---|
| Common Stock | 02/25/2014 | 02/25/2014 | S | 15,866 | D | \$ 41.1979 (1) | 10,976 | D |
| Common Stock | 02/25/2014 | 02/25/2014 | M | 467 | A | \$ 19.245 | 11,443 | D |
| Common Stock | 02/25/2014 | 02/25/2014 | S | 467 | D | \$ 41.1979 <u>(1)</u> | 10,976 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Rt to Buy) | \$ 24.91 (2) | 02/25/2014 | 02/25/2014 | M | 1,467 | 01/25/2014 | 01/25/2020 | Common Stock | 1,467 |
| Employee Stock Option (Rt to Buy) | \$ 29.25 (2) | 02/25/2014 | 02/25/2014 | M | 1,200 | 01/06/2014 | 04/06/2021 | Common | 1,200 |
| Employee Stock Option (Rt to Buy) | \$ 20.085 (2) | 02/25/2014 | 02/25/2014 | M | 15,866 | 05/08/2012 | 02/08/2022 | Common | 15,866 |
| Employee Stock Option (Rt to Buy) | \$ 19.245 (2) | 02/25/2014 | 02/25/2014 | M | 467 | 05/08/2013 | 02/08/2023 | Common | 467 |
| | | | | | | | | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kopaskie Mark S

201 E. SANDPOINTE AVENUE SUITE 800

EVP

SANTA ANA, CA 92707-6708

Signatures

/s/Mark S. Kopaskie, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated February 25, 2008 (attached)

02/26/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This is the weighted average of the price traded. The high was \$41.2718 and the low was \$41.062.
- (2) Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3