BioAmber Inc. Form 3 January 07, 2016

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Saucier Mario

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/04/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BioAmber Inc. [BIOA]

4. Relationship of Reporting

Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O BIOAMBER. 1250 RENE-LEVESQUE BLVD WEST, SUITE 4310

(Street)

10% Owner Director

\_X\_\_ Officer Other (give title below) (specify below) Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

MONTREAL. A8Â H3B4W8

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

> or Indirect (I) (Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Price of

4.

5. Conversion or Exercise

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Date Exercisable Date

Title

Amount or Number of Derivative Security

Security: Direct (D) Edgar Filing: BioAmber Inc. - Form 3

Shares or Indirect (I) (Instr. 5)  $\hat{A} \stackrel{(1)}{=} 09/22/2025 \stackrel{Common}{Stock} 200,000 \quad \$ \ 5.13 \qquad D \qquad \hat{A}$ 

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Saucier Mario

C/O BIOAMBER
1250 RENE-LEVESQUE BLVD WEST, SUITE 4310

MONTREAL, A8Â H3B4W8

### **Signatures**

/s/ Jean-Francois Huc, attorney-in-fact for Mario
Saucier

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options will vest as follows: 25% will vest on 01/04/2017; the remaining 75% will vest on a monthly basis over the three (3) following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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