Edgar Filing: Settino Mario - Form 4

| Settino Mario | o | | | | | | | | | | |
|--------------------------|-------------------|----------------|--|---|------------------------|--------|------------|---|---------------------------|-------------------------|--|
| Form 4 | ~ · - | | | | | | | | | | |
| August 16, 2 | | | | | | | | | | | |
| FORM | 4 | D STATES | SECUR | ITIES A | ND FXC | 'HAN | JGE (| COMMISSION | | PPROVAL | |
| | UNITE | DOTATE | | hington, | | | | | OMB Number: | 3235-0287 | |
| Check thi | | | | 8, | | | | | Expires: | January 31, | |
| if no long subject to | | EMENT O | F CHAN | GES IN I | GES IN BENEFICIAL OWNE | | | | • | 2005 2005 | |
| Section 1 | 6. | SECURITIES | | | | | | Estimated average burden hours per | | | |
| Form 4 or Form 5 | | | a .• | | a | Б | | | response | 0.5 | |
| obligation | | | | | | | - | e Act of 1934, | | | |
| may conti | inue. Section | | of the Inv | • | • | • • | | f 1935 or Sectio | n | | |
| See Instru 1(b). | iction | 50(II) | of the m | vestment | company | πι | 0117- | •0 | | | |
| 1(0). | | | | | | | | | | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| 1 Nama and A | ddaese of Domost | | | | | | | 5 Deletienskin of | | (-) +- | |
| Settino Mari | ddress of Reporti | ng Person _ | | 2. Issuer Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | • | Symbol BioAmber Inc. [BIOA] 3. Date of Earliest Transaction | | | | (Check all applicable) | | | |
| | | | | | | | | | | | |
| (Last) | (First) | (Middle) | 3. Date of (Month/D | | ansaction | | | Director | 10% | 6 Owner | |
| C/O BIOAM | IBER INC, 12 | 250 RENE | 08/15/20 | - | | | | X Officer (give | e title Oth | er (specify | |
| LEVESQUE | E WEST, SUIT | ГЕ 4310 | | | | | | below) Chief | below) Financial Offic | er | |
| | (Street) | | 4 If Ame | ndmant Da | te Original | | | | | | |
| | | | | . If Amendment, Date Original iled(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| | | | | | , | | | _X_ Form filed by (| | | |
| MONTREA | L, A8 H3B4W | /8 | | | | | | Form filed by M Person | Iore than One Re | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecuri | ties Acq | uired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of | 2. Transaction | Date 2A. Dee | emed | 3. | 4. Securit | ies Ac | quired | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Ye | | on Date, if Transaction(A) or Disposed of Code (D) (Day/Year) (Instr. 8) (Instr. 3, 4 and 5) | | | | l of | Securities | Form: Direct | | |
| (Instr. 3) | | any (Month/ | | | | | 5) | Beneficially Owned | | Beneficial Ownership | |
| | | (· · · · | | (| (| | | Following | (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) | | Reported Transaction(s) | | | |
| | | | | Cod V | A | or | D.: | (Instr. 3 and 4) | | | |
| Common | | | | Code V | | (D) | Price | | | | |
| Stock | 08/15/2017 | | | Р | 20,000 | А | <u>(1)</u> | 50,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Common Stock Warrants (right to buy) | \$ 0.75 | 08/15/2017 | | Р | 20,000 | 08/15/2017 | 08/15/2022 | Common Stock | 20,000 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|---|----------|---------------|-------------------------|-------|--|--|
| r g a constant | Director | 10% Owner | Officer | Other | | |
| Settino Mario C/O BIOAMBER INC 1250 RENE LEVESQUE WEST, SUITE 4310 MONTREAL, A8 H3B4W8 | | | Chief Financial Officer | | | |
| Signatures | | | | | | |
| /s/ Fabrice Orecchioni, attorney-in-fact for Maric Settino |) | 08/16/2 | 017 | | | |
| **Signature of Reporting Person | | Date | | | | |
| Explanation of Responses: | | | | | | |

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The fixed combination, consisting of one share of common stock and associated warrant to purchase one share of common stock was issued at a price of \$0.75.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.