

ALLISON R DIRK  
Form 4  
March 06, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ALLISON R DIRK

2. Issuer Name and Ticker or Trading Symbol  
Addus HomeCare Corp [ADUS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
6801 GAYLORD  
PARKWAY, SUITE 110  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/02/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

FRISCO, TX 75034

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount or (D) Price			
Common Stock	03/02/2018		A	9,866 (1) \$ 0	42,986	D	
Common Stock	03/05/2018		S(2)	911 \$ 34.04	42,075	D	
Common Stock					700	I	By Grandchildren (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 37.25	03/02/2018		A	23,958	<u>(4)</u>	03/02/2028	Common Stock	23,958
Employee Stock Option (right to buy)	\$ 37.25	03/02/2018		A	75,000	<u>(5)</u>	03/02/2028	Common Stock	75,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON R DIRK 6801 GAYLORD PARKWAY SUITE 110 FRISCO, TX 75034	X		Chief Executive Officer	

## Signatures

/s/ R. Dirk  
Allison

03/06/2018

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares vest in equal installments on each of the first three anniversaries of the grant date.

(2)

## Edgar Filing: ALLISON R DIRK - Form 4

This transaction reflects the sale of shares for the purpose of satisfying tax obligations due upon the vesting of restricted stock awards granted pursuant to the Issuer's 2009 Long-Term Incentive Plan.

The Reporting Person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the Reporting

- (3) Person is the beneficial owner of the shares for purposes of Section 16 or for any other purpose, except to the extent of his pecuniary interest therein.
- (4) The options vest in equal installments on each of the first three anniversaries of the grant date.
- (5) The options vest in equal installments on each of the first four anniversaries of the grant date.

### **Remarks:**

Exhibit Index: Exhibit 24 - Power of Attorney for R. Dirk Allison dated November 1, 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.