

NEWPORT CORP
Form 4
December 07, 2010

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
O NEILL MICHAEL T

2. Issuer Name and Ticker or Trading Symbol
NEWPORT CORP [NEWP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1791 DEERE AVENUE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/06/2010

Director 10% Owner
 Officer (give title below) Other (specify below)

IRVINE, CA 92606
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/06/2010		S ⁽¹⁾	300 D \$ 16.3	55,670	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	300 D \$ 16.31	55,370	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	700 D \$ 16.32	54,670	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	1,500 D \$ 16.325	53,170	I	Held in family trust ⁽²⁾

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Common Stock	12/06/2010		S ⁽¹⁾	200	D	\$ 16.33	52,970	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	500	D	\$ 16.34	52,470	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	100	D	\$ 16.35	52,370	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	400	D	\$ 16.38	51,970	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	200	D	\$ 16.39	51,770	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	100	D	\$ 16.395	51,670	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	1,700	D	\$ 16.4	49,970	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	1,179	D	\$ 16.41	48,791	I	Held in family trust ⁽²⁾
Common Stock	12/06/2010		S ⁽¹⁾	321	D	\$ 16.42	48,470	I	Held in family trust ⁽²⁾
Common Stock							9,623	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
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of (D)
(Instr. 3,
4, and 5)

(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O NEILL MICHAEL T 1791 DEERE AVENUE IRVINE, CA 92606		X		

Signatures

/s/ Jeffrey B. Coyne, SVP & General Counsel, as attorney-in-fact for reporting person

12/07/2010

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction was effected pursuant to a Rule 10b5-1 trading plan established by reporting person on December 2, 2010.
- (2) Shares are held by reporting person as trustee of a family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.