

UFP TECHNOLOGIES INC

Form 4

August 22, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BAILLY R JEFFREY

(Last) (First) (Middle)

**C/O UFP TECHNOLOGIES,
INC., 172 EAST MAIN STREET**

(Street)

GEORGETOWN, MA 01833

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
UFP TECHNOLOGIES INC [UFPT]

3. Date of Earliest Transaction
(Month/Day/Year)
08/21/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.01 Par Value	08/21/2013		M	44,444	A \$ 2.25	685,234	D
Common Stock, \$.01 Par Value	08/21/2013		F	4,868 (1)	D \$ 20.54	680,366	D
Common Stock, \$.01 Par Value	08/21/2013		M	55,556	A \$ 2.25	735,922	D
Common Stock, \$.01	08/21/2013		F	15,707 (2)	D \$ 20.54	720,215	D

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Par Value

Common

Stock, \$.01 08/21/2013

Par Value

F

6,086
(1)

D

\$
20.54

714,129

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option	\$ 2.25	08/21/2013		M	44,444	04/02/2004 04/02/2014	Common Stock, \$.01 Par Value 44,444
Employee Stock Option	\$ 2.25	08/21/2013		M	55,556	04/02/2004 04/02/2014	Common Stock, \$.01 Par Value 55,556

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAILLY R JEFFREY C/O UFP TECHNOLOGIES, INC. 172 EAST MAIN STREET GEORGETOWN, MA 01833	X	X	President and CEO	

Signatures

Patrick J. Kinney, Jr., as attorney-in-fact for R. Jeffrey Bailly

08/22/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the payment of the exercise price of an Employee Stock Option through the attestation of previously owned shares.

(2) Represents the payment of withholding taxes incurred on the exercise of an Employee Stock Option through the withholding of shares

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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